

**Report on Quality at Entry for the
Water and Sanitation Initiative – South Asia (WSI-SA)
August 2009**

A: AidWorks details <i>completed by Activity Manager</i>			
Initiative Name:	Water and Sanitation Initiative – South Asia		
AidWorks ID:	INJ037	Total Amount:	AUD 15 million
Start Date:	July 2009	End Date:	June 2011

B: Appraisal Peer Review meeting details <i>completed by Activity Manager</i>	
Initial ratings prepared by:	Graham Rady and Sue Ellen O'Farrell
Meeting date:	20 August 2009
Chair:	Octavia Borthwick
Peer reviewers providing formal comment & ratings:	<ul style="list-style-type: none"> – Graham Rady – Sue-Ellen O'Farrell
Independent Appraiser:	–
Other peer review participants:	<p>SAS Canberra: Elaine Ward, Andrew Adzic, Lorelle Bakker</p> <p>Dhaka: Zabeta Moutafis, Jennifer Finch, Rachel Payne</p> <p>Kathmandu: Tara Gurung, Latika Pradhan</p> <p>New Delhi: Gopal Menon</p> <p>Colombo/Regional: Mark Bailey</p> <p>Reviewers:</p> <p>Infrastructure, Water and Sanitation, Sustainable Development: Marcus Howard, Anne Joselin,</p> <p>Gender: Barb O'Dwyer</p> <p>Africa WSI: Mat Kellam</p> <p>Development partnerships: Kathryn Michie</p> <p>Disability Inclusive Development: Elena Down (apology written comments provided)</p> <p>Water Reference Group: Jane Bean</p>

C: Quality Rating Assessment against indicators*completed by Activity Manager / Peer Reviewers / Independent Appraiser*

Quality	Rating (1-6) *	Comments to support rating	Required Action (if needed)
1. Clear objectives	6	<ol style="list-style-type: none"> Objectives are based on a comprehensive analysis of the sector in each country: objectives address the needs identified in the country analyses and lessons learned. WSI-SA objectives are consistent with AusAID's objectives for South Asia and the objectives for the WSI as a whole. Good and consistent linkages (e.g. poverty, effectiveness) between the objectives, outcomes and outputs (Table 4, page 20). Objectives are in line with partner governments and other key donors / stakeholders. 	Link the outputs and outcomes of WSI-SA to the relevant sub-projects
5. Monitoring and Evaluation	5	Assessment of progress of program concept through the monitoring and evaluation of individual program components. All components have frameworks for M&E which will be further elaborated during early implementation stage.	Reporting needs to be structured to match AusAID standard guidelines and templates. Program-wide monitoring is recommended on a yearly basis through involvement of Task Managers of each program component.
6. Sustainability	5	All program components involve support to ongoing initiatives which are inherently sustainable. Support to World Bank and WSP-SA involve assistance to ongoing initiatives which also entail funding from other sources. DANIDA is considering an extension of its ongoing water and sanitation program including possible further support to HYSAWA beyond 2011. NEWAH's budget has been reduced following the withdrawal of DFID funding to the sector in Nepal.	Some risk to sustainability of NEWAH's water and sanitation initiatives. Funding to NEWAH will enable it to seek supplementary funding from other sources during the two year phase.
7. Implementation & Risk Management	5	Implementation arrangements are well established and tested during previous and ongoing funding to agencies implementing these program components. WSP-SA, World Bank and HYSAWA fully harmonized into government priorities and programs. NEWAH has effective systems to disseminate learning and outcomes from its activities to sectoral players. The inherent nature of this programmatic approach will allow funding to be adjusted across program components based on performance and to address any risk issues arising. Quality control systems internalized into program components. AusAID staff will have a role in assessing quality of deliverables both directly as well as through the deployment of consultants, provision for which has been made in the program concept.	Key risk issue relating to the HYSAWA component is the continuance of the government's stated priority to advance devolution of management and development of rural water supply and sanitation service delivery to local government agencies. This issue will require close monitoring and an articulation by government on its continuing support to HYSAWA's approach.

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8. Analysis and lessons	6	Concept and program components have assessed institutional, organizational and human resource issues. Economic and financial analysis of these component concepts have not been undertaken though they are based on the success of ongoing funding to these activities. Several components, notably the World Bank, WSP-SA and DANIDA components have been structured based on lessons and learning from previous and ongoing initiatives and aim to address future needs and priorities. Several components offer benefit multipliers by leveraging the outcomes from supported activities to contribute to government policy and programs. Concept activities involve support to sectoral players who have a leadership role in the sector in their country/regional domain.	
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*** Definitions of the Rating Scale:**

Satisfactory (4, 5 and 6)		Less than satisfactory (1, 2 and 3)	
6	Very high quality; needs ongoing management & monitoring only	3	Less than adequate quality; needs to be improved in core areas
5	Good quality; needs minor work to improve in some areas	2	Poor quality; needs major work to improve
4	Adequate quality; needs some work to improve	1	Very poor quality; needs major overhaul

D: Next Steps *completed by Activity Manager after agreement at the Appraisal Peer Review meeting*

Provide information on all steps required to finalise the design based on <i>Required Actions</i> in "C" above, and additional actions identified in the peer review meeting	Who is responsible	Date to be done
1. Secure endorsement of the Government of Bangladesh to Australia's support to HYSAWA including the sustainability of HYSAWA's stated objectives.	Post	Jan 2010
2. Seek that NEWAH in its implementation plan include tasks aimed at securing additional funding from other sources to support activities beyond the WSI funding timeframe.	Desk	March 2010
3. A Performance Framework needs to be developed to show how links to M&E information from partner agencies will meet requirements of AusAID QAI process	IWS thematic group	Jan 2010

E: Other comments or issues *completed by Activity Manager after agreement at the APR meeting*

<ul style="list-style-type: none">
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F: Approval *completed by ADG or Minister-Counsellor who chaired the peer review meeting*

On the basis of the final agreed Quality Rating assessment (C) and Next Steps (D) above:

- ☒ **QAE REPORT IS APPROVED**, and authorization given to proceed to:
- ☒ **FINALISE** the design incorporating actions above, and proceed to implementation
- or: ☐ **REDESIGN** and resubmit for appraisal peer review

☐ **NOT APPROVED** for the following reason(s):

Octavia Borthwick

signed:

Edw A. FOR OCTAVIA BORTHWICK

12/1/10