

ADDENDUM 5

Date: 27 September 2017

Pages: 2

Subject: Addendum 5 to the Competitive Grant Guidelines – Water for Women Fund (Civil Society Organisation Component) of 18 August 2017

Applicants are advised of the following:

Questions Raised in Writing by Interested Applicants

Q1. Is the 'CSO Funding History' section of the SmartyGrants form asking whether we have received funding on a project of similar nature before?

A1. Yes.

Q2. In the 'Project Support Information' section of the SmartyGrants form, there is a requirement to "provide information about how you will resource the management team with relevant expertise such as gender and social inclusion, WASH, knowledge and learning and M&E is expected to be included." What exactly does 'resourcing your management team' indicate here? Is it about providing information on staffing for the proposed project?

A2. Yes, this is about how you will ensure the relevant technical resources are available to implement the proposed project.

Q3. If an organization is planning to work with local partners to implement its project, do the partners need to be named in this application, or can an illustrative list of potential local partners be included with a summary of how partners will be selected during the inception phase?

A3. An organisation should identify local partners and the roles of these partners in their proposal. Where this is not possible, an indicative list of potential partners can be included, together with a justification for why partner identification has not been finalised and a description of the proposed partner selection process.

Q4. Given that research and innovation projects will be separately managed by the Fund Coordinator, is it desirable that CSOs also include this as a component to its proposed project?

A4. Please see Addendum 1, Q19, Q22 and Q39, Addendum 3, Q12 and Addendum 4, Q34. There is no requirement for Applicants to include future potential activities funded through the WASH Research Awards or Impact and Innovation Grants.

Q5. For the Lead Manager position, must this person already be employed by the applying organization or can the individual be presented as a candidate with commitment?

A5. Either.

Q6. Is there a specific template CSOs should use to submit a justification for an ICR higher than 10%, as per the note in the Grant Guidelines on page 26? There is no field to allow the submission of this document, should this justification be attached to the SmartyGrants form somehow?

A6. A justification for greater than 10% of the grant being allocated to administrative costs should be provided in the field "Provide a description to your budget approach".

- Q7. Recurrent costs are mentioned on page 7 of the Grant Guidelines. Can you please explain the definition of recurrent costs in more detail?
- A7. Recurrent costs are incurred regularly and repeatedly for the functioning of the organisation.
- Q8. In the 'Multiple Proposals' section of the SmartyGrants form, for the question "Is the applicant submitting multiple proposals?" do we need to answer only the number of applications where we are the Applicant CSO or also those where we are a consortium partner or sub-contractor/sub-grantee?
- A8. Only those where you are the Applicant CSO, including as the lead organisation in a consortium.
- Q9. Section 1, point 7 of the Grant Guidelines notes that the Fund has three components. Is it mandatory to cover all three components or can we focus only on component "7(a): CSO Component comprising grants to CSOs implementing gender and socially inclusive WASH projects"?
- A9. This Competitive Grant Process is to select grantees to implement component 7(a). However, please also refer to Addendum 3, Q1.
- Q10. Can we propose some constructions example - locally appropriate models like accessible WASH facilities in the community, schools for showcasing?
- A10. Yes, however, you need to explain the sustainability of your proposed project.
- Q11. Can we propose project office operational costs like electricity, internet, water etc.?
- A11. Yes. Please also refer to Addendum 4, Q32.
- Q12. Can lead partner propose costs of its office and time costs of staff directly involved in execution of consortium led project?
- A12. Yes. Please also refer to Addendum 4, Q32.
- Q13. Can you confirm India-based projects are eligible for funding, even though Australia does not currently have a bilateral development cooperation program with India?
- A13. Yes, India-based projects are eligible.
- Q14. Does the Fund prioritise specific regions within those countries that are without active DFAT WASH programs or active bilateral cooperation programs?
- A14. No, a proposal will be assessed based on its own merit. The presence or absence of other WASH programs in the proposed locations could be discussed in the "Project Concept" section of the application form.

SmartyGrants Amendments

Nil

All other information as set out in the Water for Women Fund Competitive Grant Guidelines dated 18 August 2017 remains unchanged.

This Addendum 5 will be the final addendum issued by DFAT in response to questions received.