

## Report on Quality at Entry and Next Steps to Complete Design for INI449 – South Asia Water Initiative

<b>A: AidWorks details</b> <i>completed by Activity Manager</i>			
<b>Initiative Name:</b>	South Asia Water Initiative		
<b>AidWorks ID:</b>	INI449	<b>Total Amount:</b>	\$3,000,000
<b>Start Date:</b>	30 January 2009	<b>End Date:</b>	30 June 2011

B: Appraisal Peer Review meeting details		
completed by Activity Manager		
Initial ratings prepared by:	Russell Rollason	
Meeting date:	13 January 2009	
Chair:	Octavia Borthwick, ADG Asia Regional	
Peer reviewers providing formal comment & ratings:	- Marcus Howard, AusAID Infrastructure Adviser (Independent Appraiser) - Anne Joselin, Water and Sanitation Initiative Manager - Don Blackmore, Consultant	
Independent Appraiser:	- Marcus Howard, AusAID Infrastructure Adviser	
Other peer review participants:	Octavia Borthwick      Chair, ADG, Asia Regional Branch Alan Coulthart      Principle Infrastructure Adviser Elaine Ward      Director, South Asia Section Kirsty McNichol      Director, Climate Resilience and Water Section Angela Corcoran      Design Adviser, Design and Procurement Advisory Group Paul Mitchell      Climate Resilience and Water Section Lisa Staruszkiewicz      Humanitarian and Emergencies Operations Section Joanna Pinkas      Climate Resilience and Water Section (Scribe) Barbara O'Dwyer      Gender Adviser Shin Furuno      Pacific Adaptation Team, Department of Climate Change Ousmane Dione      World Bank Lead Water Resources Specialist, SAWI David Grey      World Bank Senior Water Advisor, SAWI Genevieve Connors      World Bank Water Specialist John Dore      Water Advisor, AusAID Mekong Region Water and Infrastructure Unit	

<b>C: Quality Rating Assessment against indicators</b> <i>completed by Activity Manager / Peer Reviewers / Independent Appraiser</i>			
Quality	Rating (1-6) *	Comments to support rating	Required Action (if needed)
1. Clear objectives	5	Clarification of differences between objectives of SAWI and the MDTF were sought in peer review. These have now been included in the WB Concept Note and AusAID Engagement Strategy.	

**C: Quality Rating Assessment against indicators***completed by Activity Manager / Peer Reviewers / Independent Appraiser*

2. Monitoring and Evaluation	4	M&E frameworks will be developed for all activities funded under the MDTF. AusAID will engage in project development processes where appropriate and participate in evaluation missions.	<ul style="list-style-type: none"> <li>- AusAID should advocate for SAWI to fund collection of baseline data against which to measure progress (all data should be gender disaggregated)</li> <li>- Some resources will need to be allocated to M&amp;E.</li> </ul>
3. Sustainability	5	MDTF is intended to catalyse broader support for engagement in the water sector in South Asia and sustainability hinges on its success in engaging countries in the region in a substantive dialogue on these issues.	<ul style="list-style-type: none"> <li>- AusAID should use existing networks to promote regional governments to better fund their water resource management agencies</li> <li>- Through involvement in SAWI, AusAID should promote integration with the work of ICIMOD, Global Water Partnership, Network of Asian River Basin Organizations and the ADB.</li> </ul>
4. Implementation & Risk Management	4	While implementation and risk management measures are considered adequate, AusAID will need to remain strongly engaged in MDTF governance processes to protect our investment.	<ul style="list-style-type: none"> <li>- AusAID should participate in project appraisal and MDTF review missions</li> <li>- Relationship between the MDTF Committees and the proposed SAWI Consultative Group should be clearly defined</li> <li>- Membership of the SAWI MDTF Committee should be clearly defined</li> </ul>
5. Analysis and lessons	5	SAWI and the MDTF are clearly based on an analysis of the sector and issues facing the region. However, cross-cutting issues have not been well articulated in World Bank documentation.	<ul style="list-style-type: none"> <li>- Ensure cross cutting issue analysis is planned into the research components</li> </ul>

**\* Definitions of the Rating Scale:**

<b>Satisfactory (4, 5 and 6)</b>		<b>Less than satisfactory (1, 2 and 3)</b>	
6	Very high quality; needs ongoing management & monitoring only	3	Less than adequate quality; needs to be improved in core areas
5	Good quality; needs minor work to improve in some areas	2	Poor quality; needs major work to improve
4	Adequate quality; needs some work to improve	1	Very poor quality; needs major overhaul

**D: Next Steps** *completed by Activity Manager after agreement at the Appraisal Peer Review meeting*

Provide information on all steps required to finalise the design based on <i>Required Actions</i> in "C" above, and additional actions identified in the peer review meeting	Who is responsible	Date to be done
1. Request WB to collect gender disaggregated baseline data and indicate budget for M&E	ARB	June 2009
2. AusAID to participate in SAWI Appraisal Mission and Review Missions	ARB	2009
3. Clarify membership and roles of MDTF Committee and SAI Consultative Group	ARB	June 2009
4. Review proposed activities to ensure cross cutting issues are addressed.	ARB	2009

**E: Other comments or issues** *completed by Activity Manager after agreement at the APR meeting*

<ul style="list-style-type: none"> <li>•</li> <li>•</li> </ul>
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**F: Approval** *completed by ADG or Minister-Counsellor who chaired the peer review meeting*

On the basis of the final agreed Quality Rating assessment (C) and Next Steps (D) above:

- ✓ **QAE REPORT IS APPROVED**, and authorization given to proceed to:
- ✓ **FINALISE** the design incorporating actions above, and proceed to implementation
- or: ○ **REDESIGN** and resubmit for appraisal peer review

☐ **NOT APPROVED** for the following reason(s):

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Octavia Borthwick  
Assistant Director General  
Asia Regional Branch

signed:



5/2/09