

LexisNexis Risk Solutions Submission

Feedback on specific fields in the Excel Consolidated List that can be downloaded [here](#):

- ‘Committees’ field: In order to simplify ingestion of the data, we would like more consistency in the values used for UN entries. While all Autonomous entries seem consistently labelled beginning ‘Autonomous’, we suggest that all UN entries should be similarly labelled. For example, they could begin with ‘UN’ or another consistent tag/word, followed by the UN Resolution number and program. Examples:

Current example values:	Suggested example values:
1718 (DPRK)	UN 1718 (DPRK)
1988 (Taliban)	UN 1988 (Taliban)
Mali	UN 2374 (Mali)

- ‘Name Type’ field: We would like to suggest a new type to indicate when the name is ‘weak’/‘low quality’, in other words when it is provided for information purposes but it is not expected to be screened against.
- ‘Additional Information’ field: A wide range of data is included in this field. It would helpful if separate columns could be created to house some of this data, for example: Title, Position, Physical Description, IDs. Segregating more of this data would enable more granular screening. However, creating new columns is a list format change that would require prior notice in order that users’ systems can be adapted. We reference the UK government’s engagement with industry stakeholders prior to updating the format of their OFSI-HMT and FCDO sanction lists in early 2022.
- ‘Control Date’: We would like to stress the importance of consistency in the date format used. I do not have any specific examples to share, but we have seen instances in the past when varying formats were included.
- ‘Reference’ field: We would like to stress the importance of accuracy in this data for all rows, regardless of Name Type. I do not have any specific examples to quote but we have noticed instances when they are not always correct on initial update and are corrected at a later date.

Communication of updates to the Consolidated List:

- We note that you include the UNSC Committee’s advice notices or links to the Autonomous Sanctions legislation updates in your ‘Changes to Consolidated List [SEC=OFFICIAL]’ emails. This information can help identify which entities have been added, removed or amended, but is not directly linked to the Consolidated List entries themselves. It would be helpful if entities that are added or removed could be specifically highlighted in your email alerts, and if changes to existing entities could be highlighted with strikethrough (removed text) and underscore (added text). We reference the format of OFSI-HMT Notices, an example of which can be found [here](#) and [here](#).