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**From:** s22(1)(a)(ii)

**Sent:** Friday, August 24, 2018 2:30 PM

**To:** s33(a)(iii)

**Cc:** s33(a)(iii)

**Subject:** RE: Introduction to Sanctions Office [REDACTED]

[REDACTED]

s33(a)(iii)

Many thanks for your time on the phone earlier this week and also for the introduction to s33(a)(iii)

s33(a)(iii)– it would be good to meet when you have time. In the first instance I wanted to let you know that I am attending a meeting this afternoon with my Embassy colleague, Elisabeth Bowes, Minister-Counsellor (Trade) at OFAC to discuss s47G . I have been dealing with s33(a)(iii) on this matter. s33(a)(iii) advised that the meeting this afternoon will either be with s33(a)(iii) or s33(a)(iii) .

If you have time later this afternoon, perhaps we could have a quick chat on the phone. Otherwise, I will follow-up next week.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

First Secretary | Political Branch  
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Washington DC 20036-2273

s22(1)(a)(ii)

s33(a)(iii) & s33(b)



s33(a)(iii) & s33(b)

s22(1)(a)(ii)

**From:** s22(1)(a)(ii)  
**To:** s33(a)(iii)  
**Subject:** RE: Catchup with trade folk [REDACTED]  
**Date:** Wednesday, 19 September 2018 8:55:44 AM

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[REDACTED]

Hi s33(a)(iii)

It was good to meet you and s33(a)(iii) last week (and to see s33(a)(iii) again).

Further to our discussion, I have a document that I would like to drop off to you. I am going to be at State for most of the day tomorrow attending a conference. Let me know if there is a time that suits (perhaps during lunch between 12 and 1:30).

Cheers

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

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s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)  
**Sent:** Thursday, September 13, 2018 2:18 PM  
**To:** s33(a)(iii)  
**Cc:** s33(a)(iii)  
s22(1)(a)(ii)  
**Subject:** RE: Catchup with trade folk [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Thanks for the update. That is great. Look forward to seeing you tomorrow.

Cheers

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)

**Sent:** Wednesday, September 12, 2018 6:50 PM

**To:** s33(a)(iii)

**Cc:** s33(a)(iii)

s22(1)(a)(ii)

**Subject:** RE: Catchup with trade folk [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Elisabeth Bowes has asked me to follow-up with you on whether s33(a)(iii) would be able to join the meeting on Friday. Are you able to advise?

Also, just to note that we may be joined by s22(1)(a)(ii), First Secretary (Trade) who works directly to Elisabeth.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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Washington DC 20036-2273

s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)

**Sent:** Monday, 10 September 2018 6:03 PM

**To:** s33(a)(iii)

**Cc:** s33(a)(iii)

s22(1)(a)(ii)

**Subject:** RE: Catchup with trade folk [REDACTED]

[REDACTED]

Thanks s33(a)(iii)

See you on Friday.

Cheers

s22(1)(a)(ii)

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s33(a)(iii) & s33(b)

**From:** s22(1)(a)(ii)

**Sent:** Monday, September 10, 2018 1:32 PM

**To:** s33(a)(iii)

**Cc:** s33(a)(iii)

s22(1)(a)(ii)

**Subject:** RE: Catchup with trade folk [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Thanks for your reply. Yes, 11:00am this Friday (14<sup>th</sup>) would work well.

Both Elisabeth and I have State badges. Grateful your advice on the room number.

Cheers

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)  
**Sent:** Monday, September 10, 2018 10:49 AM  
**To:** s33(a)(iii)  
**Cc:** s33(a)(iii)  
**Subject:** FW: Catchup with trade folk [REDACTED]

[REDACTED]

Hi s33(a)(iii)

I am getting back in touch to ask if we could make a time to come into State this week to discuss sanctions on s47G

We would like to request a meeting between s33(a)(iii) and Elisabeth Bowes, Minister-Counsellor (Trade). Elisabeth and I both met with s33(a)(iii) and s33(a)(iii) at OFAC late last month to discuss the impact of the sanctions on the operations of s47G

Noting s33(a)(iii) advice below (to Paul Griffiths, Minister-Counsellor (Political)), if he is not available then we would be pleased to meet with DAS s33(a)(iii). (Elisabeth was out of the office last week, which is why we are getting in touch this morning).

Kind regards  
s22(1)(a)(ii)

s22(1)(a)(ii)  
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s22(1)(a)(ii)

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**From:** Griffiths, Paul  
**Sent:** Wednesday, 29 August 2018 9:36 AM  
**To:** s33(a)(iii)  
**Cc:** Bowes, Elisabeth s22(1)(a)(ii)

**Subject:** RE: Catchup with trade folk [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Thanks that's very helpful. I've copied in Elisabeth and s22(1)(a)(ii) to follow up.

Enjoy your trip and look forward to seeing you on return.

Paul

Paul Griffiths  
Minister-Counsellor Political  
Australian Embassy Washington  
1601 Massachusetts Avenue NW  
Washington DC 20036-2273  
s22(1)(a)(ii)



*Celebrating the first 100 years | 1918 – 2018*



s33(a)(iii) & s33(b)

On Aug 29, 2018, at 8:44 AM, Griffiths, Paul <[Paul.Griffiths@dfat.gov.au](mailto:Paul.Griffiths@dfat.gov.au)> wrote:



David

Hope you are well and great to see you again recently.

My trade counterpart (Minister-Counsellor Elisabeth Bowes) and the officer who covers sanctions in my team (First Secretary s22(1)(a)(ii) ) need to talk to someone senior/knowledgeable in State about the sanctions on s47G . The purpose would be to highlight the importance of an early consideration by the US of an Australian company's proposal to meet the terms of the sanctions. The company is in a joint venture with s47G .

I suspect you might be the best choice but can you confirm. I think they'd be aiming for Friday am (meeting or breakfast).

Regards

Paul

Paul Griffiths  
Minister-Counsellor Political  
Australian Embassy Washington  
1601 Massachusetts Avenue NW  
Washington DC 20036-2273  
s22(1)(a)(ii)

<image001.jpg>

*Celebrating the first 100 years | 1918 – 2018*

<image002.png><image003.png><image004.png><image005.png>



s22(1)(a)(ii)

s33(a)(iii) & s33(b)

## **U.S. Department of the Treasury Office of Public Affairs**

**Press Release:** September 21, 2018  
**Contact:** Treasury Public Affairs, (202) 622-2960

### **OFAC Extends Expiration Date of General Licenses Related to EN+ and RUSAL**

**Washington** – The U.S. Department of the Treasury’s Office of Foreign Assets Control (OFAC) today extended the expiration date of certain general licenses related to EN+ Group plc (EN+)

and United Company RUSAL PLC (RUSAL). These General Licenses 13D, 14A, and 16A amend their previous versions by extending the expiration date from October 23, 2018 to November 12, 2018 for transactions related to the companies and their subsidiaries.

On April 6, 2018, OFAC designated EN+ for being owned or controlled by, directly or indirectly, Oleg Deripaska and other entities he owns or controls. In that same action, OFAC designated RUSAL for being owned or controlled by, directly or indirectly, EN+.

“EN+ and RUSAL have approached the U.S. Government about substantial corporate governance changes that could potentially result in significant changes in control. To allow sufficient time for review, we are extending these licenses until November 12,” said a Treasury spokesperson.

View General License 13D [insert link], General License 14A [insert link], and General License 16A [insert link].

**From:** s22(1)(a)(ii)  
**To:** s33(a)(iii)  
**Subject:** RE: s47G [REDACTED]  
**Date:** Saturday, 20 October 2018 6:33:21 AM

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[REDACTED]

Hi s33(a)(iii) and s33(a)(iii)

Further to my email earlier a couple of weeks ago, we noted that OFAC issued three general licences on Friday last week, with a temporary extension of time through to 12 December.

<https://www.treasury.gov/resource-center/sanctions/OFAC-Enforcement/Pages/20181012.aspx>

As previously mentioned, s47G is looking for certainty about the way forward on this matter. While we appreciate that State may not be able to discuss matters that are commercial-in-confidence, we would welcome the opportunity to understand the context in which these most recent licences have been issued.

Perhaps we could discuss early next week?

Kind regards  
s22(1)(a)(ii)

s22(1)(a)(ii)  
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s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)  
**Sent:** Thursday, 4 October 2018 4:39 PM  
**To:** s33(a)(iii)  
**Cc:** s33(a)(iii)  
**Subject:** s47G [REDACTED]

[REDACTED]

Hi s33(a)(iii)

I hope this email finds you well.

Further to the meeting last month with A/g DAS s33(a)(iii), and our handing over of the summary of s47G submission to OFAC, I thought it would be useful to check-in with you on any recent developments regarding s47G.

We noted OFAC's extension on 21 September of the general licences to 12 November (thanks for forwarding the announcement). As is to be expected, s47G is looking for certainty about the way

forward on this matter. Any updates you're able to provide would be greatly appreciated.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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Washington DC 20036-2273

s22(1)(a)(ii)

**From:** s22(1)(a)(ii)  
**To:** s33(a)(iii)  
**Subject:** RE: Phone call & meeting request [REDACTED]  
**Date:** Tuesday, 23 October 2018 3:44:04 AM

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[REDACTED]

Thanks s33(a)(iii)

Look forward to speaking with you then.

Cheers

s22(1)(a)(ii)

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s22(1)(a)(ii)

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s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)  
**Sent:** Monday, October 22, 2018 10:21 AM  
**To:** s33(a)(iii)  
**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

Hi s33(a)(iii)

If this afternoon still suits, we would be happy to call. Does 2:00pm work for you?

If you could remind me of your number that would be great.

Thanks

s22(1)(a)(ii)

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Washington DC 20036-2273  
s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)

**Sent:** Friday, 19 October 2018 4:35 PM

**To:** s33(a)(iii)

**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

Thanks s33(a)(iii)

I have a window between 1 and 3pm on Monday. Would 2:00pm suit you?

Elisabeth Bowes (Minister-Counsellor, Trade) may join the call.

Cheers

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)

**Sent:** Friday, October 19, 2018 3:30 PM

**To:** s33(a)(iii)

**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Further to my email earlier a couple of weeks ago, we noted that OFAC issued three general licences on Friday last week, with a temporary extension of time through to 12 December.

<https://www.treasury.gov/resource-center/sanctions/OFAC-Enforcement/Pages/20181012.aspx>

As previously mentioned, s47G is looking for certainty about the way forward on this matter. While we appreciate that OFAC is not able to discuss matters that are commercial-in-confidence, we would welcome the opportunity to understand the context in which these most recent licences have been issued.

Perhaps we could discuss early next week?

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

First Secretary | Political Branch  
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Washington DC 20036-2273

s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)

**Sent:** Thursday, 4 October 2018 4:28 PM

**To:** s33(a)(iii)

**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

Hi s33(a)(iii)

I hope this email finds you well.

I thought it would be useful to check-in with you on any recent developments regarding

s47G

As you're aware, we called on the State Department last month to discuss the impact of the sanctions on the operations of s47G . We noted OFAC's extension on 21 September of the general licences to 12 November. As is to be expected, s47G is looking for certainty about the way forward on this matter. Any updates you're able to provide would be greatly appreciated.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

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s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)

**Sent:** Friday, August 31, 2018 3:13 PM

**To:** s33(a)(iii)

**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Thanks again for organising the meeting last week at short notice and during a busy time. Elisabeth and I appreciated the opportunity to meet with Acting Director s33(a)(iii), you and your colleagues.

Elisabeth has asked me to let you know that she spoke with s47G this week to provide it with the gist of the conversation we had last week regarding s47G said it had contacted OFAC s47G to discuss its proposal, although it had not had a response yet, but hoped it would soon. If you have any questions for us, please don't hesitate to contact me.

Best wishes for a relaxing long weekend!

Cheers

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

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s33(a)(iii) & s33(b)



s33(a)(iii) & s33(b)

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**From:** s22(1)(a)(ii)

**Sent:** Wednesday, August 22, 2018 2:47 PM

**To:** s33(a)(iii)

**Cc:** s22(1)(a)(ii)

**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

s33(a)(iii)

Attaching a bio for Ms Bowes and looping in her EA, s22(1)(a)(ii) .

Cheers

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)  
**Sent:** Wednesday, 22 August 2018 2:35 PM  
**To:** s33(a)(iii)  
**Cc:** s22(1)(a)(ii)  
**Subject:** RE: Phone call & meeting request [REDACTED]

[REDACTED]

Hi s33(a)(iii)

Thanks for your call this afternoon.

Further to our conversation I can confirm that Elisabeth Bowes, Minister-Counsellor (Trade) is available on Friday 24 August (9:30-12:30 and after 3:00pm) for a meeting with Treasury/OFAC.

Noting that our preference is to meet on Friday rather than Monday/Tuesday next week, we will of course be guided by you as to the availability of Acting Director s33(a)(iii) , Acting Deputy Director s33(a)(iii) or another senior official.

Ms Bowes would be accompanied by s22(1)(a)(ii) , First Secretary (Trade) and me. Please advise as to the clearance details you require in advance.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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Washington DC 20036-2273

s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)  
**Sent:** Tuesday, 21 August 2018 1:54 PM  
**To:** s33(a)(iii)  
**Subject:** Phone call & meeting request [REDACTED]

[REDACTED]

Hi s33(a)(iii)

I hope you're well and were able to take a break over the summer.

I am writing in relation to two issues. The first relates to s47G

Further to our previous conversations regarding s47G , we would like to request a meeting this week between Elisabeth Bowes, Minister-Counsellor (Trade) here at the Embassy (and currently acting DCM) and, if available, a senior Treasury/OFAC official to discuss the sanctions on s47G and how they relate to s47G operations. We will be guided by you on who would be the best person to speak with but I wondered whether it would be Deputy

Director s33(a)(iii) ?

s33(a)(iii)

I look forward to talking with you at your earliest convenience.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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Washington DC 20036-2273

s22(1)(a)(ii)

**From:** s22(1)(a)(ii)  
**To:** s33(a)(iii)  
**Subject:** RE: s47G [REDACTED]  
**Date:** Thursday, 24 January 2019 5:58:11 AM

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[REDACTED]

Hi s33(a)(iii)

I hope you're doing well.

Following the outcome on the votes on the two resolutions in the Senate and the House last week, we are curious as to what Treasury's next steps are in relation to s47G . With the deadline for Congress to take action passing on the 17<sup>th</sup>, we had anticipated a US Treasury announcement on the 18<sup>th</sup> or early this week.

Aside from the January 16 extension of the general licenses, I have not seen anything further. Should we expect a further announcement this week, or on 28 January when the licenses expire?

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)

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**From:** s22(1)(a)(ii)  
**Sent:** Tuesday, 8 January 2019 6:49 PM  
**To:** s33(a)(iii)  
**Cc:** s22(1)(a)(ii) ; Bowes, Elisabeth s22(1)(a)(ii)  
**Subject:** s47G [REDACTED]

[REDACTED]

Hi s33(a)(iii)

I hope this email finds you well, although perhaps on furlough due to the shutdown.

The Australian Embassy is tracking closely OFAC's notification to Congress of its intention to terminate sanctions against s47G .

We note the news today that seven House committee chairs wrote to Secretary Mnuchin requesting that Treasury delay the implementation of the sanctions termination.

We would be grateful for any advice you and/or your colleagues could provide regarding the likelihood of an extension being granted and any other related matters.

Kind regards

s22(1)(a)(ii)

s22(1)(a)(ii)

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s22(1)(a)(ii)