

The Department of Foreign Affairs and Trade **Australia Awards Fellowships Round 19** 

**Application Guidelines** 



## Contents

|      | ummary of Changes to Round 19                                      | 3<br>7      |
|------|--|-------------|
| -    | : Australia Awards Fellowships Cycle                               |             |
|      | ustralia Awards  | 8           |
| 1.1  | Australia Awards Fellowships                                       | 8<br>8<br>8 |
| 1.2  | Quad Fellowships   | 8           |
|      | ustralia's Development Program                                     | 9           |
| 2.1  | Gender equality  | 9           |
| 2.2  | Disability equity  | 9           |
| 2.3  |  | 10          |
| 2.4  | Engagement with First Nations Australians                          | 11          |
| 3 E  | ligibility for an Australia Awards Fellowship                      | 12          |
| 3.1  | Eligibility of applicants  | 12          |
| 3.2  | Eligibility of participants  | 12          |
|      | 2.1 Overseas Counterpart Organisations                             | 12          |
| 3    | .2.2 Fellows   | 12          |
| 3.3  | Eligible countries   | 13          |
| 3.4  | Priority areas   | 13          |
| 4 F  | ellowship Application Process                                      | 15          |
| 4.1  | Application Form   | 15          |
| 4.2  | Overseas Counterpart Organisation(s)                               | 15          |
| 4.3  | Program type   | 15          |
| 4.4  | Maximum funding available  | 15          |
| 4.5  | Fellowship duration  | 16          |
| 4.6  | Fellowship activities  | 16          |
| 4.7  | Fellowship budget  | 16          |
| 4    | 7.1 Costs that cannot be claimed                                   | 16          |
| 4.8  | Submission conditions  | 17          |
| 4.9  | Nominated and reserve Fellows                                      | 17          |
|      | 9.1 Nominated and reserve Fellows – Supplementary Form             | 17          |
|      | 9.2 Using the reserve list   | 17          |
| 4    | 9.3 Self-Funded Fellows  | 18          |
| 4.10 | Final tips for completing your application                         | 18          |
| 4.11 | Key Documents  | 18          |
| 5 E  | ligible Costs  | 20          |
| 5.1  | Fellowships Activity Budget  | 20          |
| 5.2  | Activity-specific costs— Eligible costs                            | 20          |
| 5    | 2.1 Travel To Australia  | 20          |
| 5    | 2.2 Delivering course costs  | 21          |
| 5    | 2.3 Accommodation  | 21          |
| 5    | 2.4 Fellows' contribution to living expenses                       | 21          |
| 5    | 2.5 Insurance  | 22          |
| 5    | 2.6 Additional assistance for disability                           | 22          |
| 5    | 2.7 Welcome to Country Ceremony                                    | 22          |
| 5    | 2.8 Activities in partner countries                                | 22          |
| 5    | 2.9 Program overhead fee   | 22          |
| 5.3  | Co-contribution Co-contribution                                    | 22          |
| 5.4  | Additional budget related information – Mixed mode delivery option | 23          |
| 6 S  | election   | 24          |
| 6.1  | Compliance Criteria  | 24          |
| 6.2  | Selection criteria   | 25          |
| 6.3  | Selection criteria: Scoring Scale                                  | 26          |
| 6.4  | Due Diligence  | 26          |
| 7 F  | ellowships Management Guidelines                                   | 27          |
| 7 1  | How to use the Fellowships Guidelines                              | 27          |

| 8 Management Responsibilities   | 27     |
|---|--------|
| 8.1 Australian Host Organisations (AHO)                                     | 27     |
| 8.1.1 AHO - Risk Management   | 27     |
| 8.1.2 Pre-Departure and Arrival Briefing                                    | 28     |
| 8.2 Overseas Counterpart Organisations (OCO)                                | 28     |
| 8.3 Fellowships Secretariat, Global Programs and Partnerships Branch (DFA   | T) 29  |
| 9 Agreements - AHO  | 30     |
| 10 Fellows  | 31     |
| 10.1 Fellows Responsibilities   | 31     |
| 10.2 Acceptance of Award  | 31     |
| 10.3 Applying for a Visas   | 31     |
| 10.4 Fellow conditions  | 32     |
| 10.5 Reduced Fellowship participation                                       | 33     |
| 10.6 Fellow Personal Travel   | 33     |
| 10.7 PSEAH and Child Protection reporting                                   | 33     |
| 10.8 Fellows Completion Survey  | 34     |
| 11 Support for people with disability                                       | 35     |
| 11.1.1 Travelling with a carer  | 35     |
| 11.1.2 Visas for carers   | 35     |
| 12 Completion activities, reports and funds acquittal                       | 36     |
| 12.1 Fellowship Certificates  | 36     |
| 12.2 Activity Completion Report   | 36     |
| 12.2.1 Step by Step instructions on how to complete ACR                     | 36     |
| 12.3 Acquittal  | 36     |
| 12.4 Fraud  | 37     |
| 12.5 Corruption   | 37     |
| 13 Welfare and Critical Incidents   | 39     |
| 13.1 Welfare Incidents  | 39     |
| 13.2 Critical Incidents   | 39     |
| 13.3 Preventing Sexual Exploitation, Abuse and Harassment and Child Protect | ion 39 |
| 13.4 Death of an Australia Awards Fellowships Fellow                        | 40     |
| 14 Media Guide  | 41     |
| 14.1 Promoting your Australia Awards Fellowships                            | 41     |
| 14.2 Logos and co-branding  | 41     |
| 14.3 Promoting your Fellowship  | 41     |
| 14.3.1 Media releases   | 41     |
| 14.3.2 Offering an 'exclusive' to a local newspaper or journalist           | 41     |
| 14.3.3 Articles for websites or newsletters                                 | 42     |
| 14.3.4 Social Media   | 42     |
| 14.3.5 Fellow profiles  | 42     |
| 15 Other Information  | 43     |
| 15.1 Privacy  | 43     |
| 15.2 Disclaimer   | 43     |
| 15.3 False or misleading information  | 43     |
| 15.4 Conflict of Interest   | 43     |

## 1 Summary of Changes to Round 19

## **IMPORTANT**

A number of changes have been made to the Australia Awards Fellowships program for Round 19. Before submitting an application, please read these Guidelines carefully and note the following changes:

### Table of changes

| Section         | Amendment / Deletion/ Change / Clarification  |
|-----------------|---|
| Summary<br>Page | Change: Application process will now be conducted in two stages.  |
|                 | Stage 1 – Submission of Fellowship application and  |
|                 | Stage 2 – Successful Host Organisations to complete Supplementary Form (listing Fellow(s) nomination including reserve list and detailed activity information). |
| 1.1             | Clarification: Minimum of 2 weeks of the Fellowship must be conducted /delivered in Australia.  |
| 1.2             | Addition: Introduction of Quad Infrastructure Fellowship.   |
| 3.2             | Non-ODA funding is not available for Round 19.  |
| 3.2.3           | Clarification Non-eligible countries (Brunei Darussalam, French Polynesia, New Caledonia and Singapore) are excluded in the list of eligible countries.         |
| 3.3             | Change: Priority Area descriptions.   |
| 4.7.1           | Clarification: DFAT will not cover the cost of development of course materials.   |
| 4.8             | Number of applications to be submitted by an Organisation is limited to six (6).  |
| 4.9.1           | Change: Nominated Fellows, Reserves and Self-Funded Fellows to be named in the supplementary form provided in Stage 2.  |
| 4.9.2           | Addition: AHO's are not to amend previously issued Letters of Support   |
| 4.9.3           | Addition: Self-Funded Fellows.  |
| 4.10            | Clarification: Align application to a single development priority area.   |
| 5.2.1.1         | Clarification: Other travel costs that can be claimed.  |
| 5.2.2           | Addition: hourly rate up to a <b>maximum</b> of \$200 will be considered on a case by case basis  |
| 5.2.3           | Change: Increase to accommodation rates for ACT, SA, QLD and TAS.   |
| 5.2.4           | Clarification: Contribution to living expenses.   |
| 5.2.4.1         | Clarification: Allowable deductions for AHO provided meals.   |
| 6.1             | Change: Compliance Criteria.  |
| 6.2             | Change: Increase percentage weighting of selection criteria for Fellowship Alignment to 35%, Fellowship Outcome to 35%, Organisation Capability remains at 30%. |
| 8               | Change: Management information split out into a dedicated section.  |
| 8               | Varies changes: Management Guidelines   |
| 10.2.1          | Addition: Reduced Fellowship participation  |
| 10.5            | Addition: Reduced Fellow Participation  |
| 10.6            | Addition: Fellow Personal Travel  |
|                 |   |

# Australia Awards Fellowships - Round 19 Guidelines

Please read these Guidelines carefully as they include important updates in relation to the application process and requirements.

## **Round 19 Summary and Key Dates**

**Stage 1** – Australian Organisations to submit applications.

#### APPLICATIONS OPEN:

Wednesday, 11 October 2023 (9.00am AEDT)

To be submitted ONLINE via https://fellowships.smartygrants.com.au/.

#### **PLEASE NOTE:**

Applications are open for 28 days including public holidays.

ONLY six (6) applications from Australian Organisations with the same ABN will be assessed.

#### APPLICATIONS CLOSE:

Tuesday, 7 November 2023 (11.59pm AEDT)

#### **PLEASE NOTE:**

Late or incomplete applications will not be accepted for assessment.

ONLY online applications will be assessed.

Emailed or faxed applications will not be accepted.

Australian Organisations will be notified of the outcomes from Stage 1:

- between March and June 2024 for 2023/24 funding relating to the Quad infrastructure Fellowships Program and other approved proposals.
- between July and September 2024 for 2024/25 funding for remaining approved proposals.

**Stage 2:** Successful Australian Host Organisations to nominate Fellows and reserves, update activity table, confirm travel dates and complete other information **via a Supplementary Form** that will be made available at: <a href="http://fellowships.smartvgrants.com.au/">http://fellowships.smartvgrants.com.au/</a>

#### SUPPLEMENTARY FORM OPENS:

No later than six months prior to Fellow mobilisation to Australia

To be submitted ONLINE via https://fellowships.smartygrants.com.au/.

#### **PLEASE NOTE:**

You will receive an email from the Fellowship Secretariat advising when the Supplementary Form is available for completion:

#### SUPPLEMENTARY FORM CLOSES:

10 working days after re-opening

#### **PLEASE NOTE:**

ONLY supplementary information provided via http://fellowships.smartygrants.com.au/ will be actioned. Emailed or faxed supplementary information will not be accepted.

Need more information?

Australia Awards Fellowships | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)

www.dfat.gov.au

www.australiaawards.gov.au

or Email: fellowships@dfat.gov.au

## Glossary of Terms and Acronyms

#### **Definitions**

#### **Activity Completion Report**

The report submitted to DFAT via <a href="http://fellowships.smartygrants.com.au/">http://fellowships.smartygrants.com.au/</a> by the Australian Host Organisation within 45 days of the completion of the Fellowship. Reporting on the outcomes of the program and acquitting the funding. This is a mandatory requirement of the Agreement Deed.

#### **Applicant**

An Australian Organisation submitting an application for Australia Awards Fellowships funding.

#### **Australia Awards**

Australia's overarching Awards program which includes Fellowships, Scholarships and short courses administered by DFAT and the Australian Council for International Cultural Research.

#### Australian organisation

An Australian organisation (with an ABN) submitting an application for Australia Awards Fellowships funding.

#### **Australian Host Organisation (AHO)**

An Australian Host organisation (with an ABN) whose application for Fellowship funding has been successful.

#### **Fellow**

An individual from an eligible developing country, nominated by the AHO and Overseas Counterpart organisation, participating in an Australia Awards Fellowship.

#### **Fellowship**

Australia Awards Fellowships provide short-term opportunities for in-Australia study, research and professional development activities, hosted by Australian Host Organisations.

#### **Fellowship Completion Survey**

The survey undertaken by all Fellows online through SmartyGrants on completion of their Fellowship activities.

#### **Funding Agreement Deed**

Agreement signed between DFAT and the Australian Host Organisation that defines the program/activities, timeframe, financial and other responsibilities of each party.

#### **Mixed-mode Delivery**

Delivery of the Fellowship using various modes including in-Australia, Australian Host Organisations offshore campuses or locations, in Overseas Counterpart institutions and through distance or online learning.

#### **Overseas Counterpart Organisation**

The Overseas organisation(s) that works in partnership with the Australian Host Organisation.

#### Post

Australian Diplomatic Mission (High Commission or Embassy) with DFAT representation.

### **Priority Development Areas**

Priorities for the development partnership between the DFAT and partner countries.

#### **Record of Understanding**

The agreement signed between DFAT and an Australian Government agency that defines the program/activities, timeframe, financial and other responsibilities of each party.

#### **SmartyGrants**

DFAT's online application system. - see via <a href="http://fellowships.smartygrants.com.au/">http://fellowships.smartygrants.com.au/</a>

### Whole of Government (WofG)

Public service agencies working across portfolio boundaries to achieve a shared goal and an integrated government response to particular issues.

## Abbreviations and Acronyms

ACR Activity Completion Report

**DFAT** Department of Foreign Affairs and Trade

ABN Australian Business Number

Home Affairs Department of Home Affairs

AHO Australian Host Organisation

MoU Memorandum of Understanding

**RoU** Record of Understanding

## Figure: Australia Awards Fellowships Cycle

#### **APPLICATION - STAGE 1**

Australian Organisation to submit Fellowship Application online through SmartyGrants, completing selection criteria and providing Fellowship overview and proposed budget

**Overseas Counterpart** Organisation to provide letter of support for the Australian Organisation that must be submitted as part of the Australian Organisation's application

#### STAGE 2 - NOMINATIONS

No later than six months before Fellowship commences, successful Australian Host Organisation to provide details of nominated Fellows and reserves through SmartyGrants

Australian Host Organisation to provide updated activity table, confirm travel dates and complete other information as required

#### **APPROVAL OF FELLOWS**

DFAT to advise approval of nominated Fellows as provided by Australian Host Organisation

**DFAT** issues Award Offer Letters for signature by nominated

Fellows return signed Award Offer Letters, Code of Conduct form and provide Work Plans to Australian Host Organisation

#### **ALUMNI**

Fellows will become part of

Fellows to forge and maintain ongoing links with Australian Organisations

#### **SELECTION**

**DFAT** conducts eligibility

Post and DFAT thematic areas assess and score Fellowship proposals

**Australia Awards Fellowships Selection Panel** makes final decision

#### **FUNDING**

**DFAT** disperses funding to Australian Host Organisation on receipt of correctly issued tax invoice

#### PRE-DEPARTURE

Fellow applies for visa to travel to Australia

**Australian Host Organisation** 

- travel/medical insurance for
- travel and accommodation
- delivers pre-departure briefing to Fellows

#### POST FELLOWSHIP

#### **Australian Host Organisation**

- submit an Activity Completion Report online in SmartyGrants
- return unspent funds to
  DFAT (if applicable)
  manage ongoing
  relationship/activities with
  Fellows and Counterpart
  Organisation

#### **NOTIFICATION**

**Australian Organisations** advised of outcome

#### **OFFERS**

Offers made to successful applicants (now identified as Australia Host Organisations)

**DFAT** and **Australian Host** Organisation to finalise/negotiate budget and activities

**DFAT** and **Australian Host** Organisation sign grant/contractual agreement

**Australian Host Organisation** issue tax invoice to DFAT for agreed value of activities

#### **ON-FELLOWSHIP**

#### Australian Host Organisation to:

- provide arrival briefing
- manage all Fellowship
- manage all Fellow welfare/critical incidents
- provide departure briefing
- ensure completion of Fellow Survey

Fellows to undertake all activities and finalise Fellows Completion Survey

### PARTNER COUNTRY **ACTIVITIES**

#### **Australian Host Organisation** deliver

- activities in partner countries (if applicable)
- assist Fellows with completion of activities
- support Fellows to submit the Fellow Completion Survey

Fellows to undertake all activities and finalise Fellows Completion

## 1 Australia Awards

Australia Awards are an Australian Government initiative bringing together prestigious international Scholarships, Fellowships and short courses administered by the Department of Foreign Affairs and Trade (DFAT), and the Australian Centre for International Agricultural Research (ACIAR).

Australia Awards are managed by DFAT and are provided as part of Australia's development program.

The overall goal of DFAT's Australia Awards is to support partner countries to progress their development goals and have positive relationships with Australia that advance mutual interests. Australia Awards support emerging leaders to undertake study, research and professional development activities that build skills, knowledge and people to people links with the aim of contributing to the long-term development needs of Australia's partner countries.

The Awards strive to develop leadership potential and stimulate lasting change by empowering a global network of talented individuals through high-quality education experiences in Australia and overseas. Australia Awards Scholars and Fellows return home with new ideas, knowledge and networks, and the ability to make a significant contribution to their home countries as leaders in their field. Through the Awards, alumni develop links to Australia and Australians, helping to build positive relationships between individuals, organisations and businesses in Australia and partner countries.

The Australia Awards administered by DFAT include: Australia Awards Scholarships; Australia Awards Pacific Scholarships; Australia Awards Fellowships and Australia Awards Short Courses.

## 1.1 Australia Awards Fellowships

Australia Awards Fellowships offer eligible Australian Host Organisations, from all sectors including but not limited to the private enterprise, not-for-profit organisations, the tertiary sector, research institutions, etc, the opportunity to deepen and broaden their links with leaders and professionals in developing countries by hosting Fellows from overseas partner organisations. Australian organisations identify their relevant development expertise and submit a Fellowship proposal with an overall aim of:

- strengthening partnerships and links between Australian organisations and partner organisations in developing countries, in support of Australia's strategic development objectives; and
- increasing the capability and professional development of selected Fellows to advance priority development issues bilaterally, sub-regionally and/or regionally.

The program is designed to complement Australia's individual bilateral and regional development programs and long-term scholarships by offering future leaders and mid-career professionals who will be in a position to advance priority development and foreign affairs issues on their return home. Fellowship activities aim to provide high-quality training, exchange of expertise, skills and knowledge, and opportunities to enhance networks on issues of shared interest. Activities can include a combination of short-term study and/or training, research, professional attachments and networking experiences.

Funding of up to \$34,500 per Fellow is offered on a competitive basis to Australian organisations to host Fellows from eligible countries for activities delivered both in Australia and offshore for between a minimum two weeks and maximum 52 weeks. A minimum of two weeks of Fellowship activities must be conducted /delivered in Australia.

From Round 19, elements of the Quad Infrastructure Fellowships Program (QIFP) will also be offered via the Australia Awards Fellowships Program.

Australian organisations must demonstrate commitment to the program and ongoing collaboration by providing a co-contribution to the Fellowship costs.

## 1.2 Quad Fellowships

On 20 May, Quad Leaders announced the Quad Infrastructure Fellowships Program (QIFP). The QIFP seeks to share Quad countries' world-class infrastructure expertise with the region's infrastructure practitioners through infrastructure Fellowships. Through coordinated programs Quad countries will support more than 1,800 of the region's infrastructure practitioners to design, build and manage quality infrastructure in their home countries. Australia will contribute 260 mid-career professional placements and 160 senior government executive Fellowships to candidates from Southeast Asia, South Asia and Pacific Island countries, including some Fellowships through the Australia Awards Fellowships.

## 2 Australia's Development Program

The development program is a key tool of statecraft and helps to build regional resilience. It works alongside our foreign policy, trade, economic and security engagement to promote a peaceful, stable and prosperous region A region that is predictable—where differences are resolved by international law and norms, and where we can cooperate, trade, and thrive. To achieve this, requires sustainable development and lifting people out of poverty.

In August 2023, Australia released a new International Development Policy, setting the long-term direction for Australia's development program. Among its key commitments, the policy identifies ambitious targets and action on climate change through boosts in investments and access to specific climate expertise, as well as a nature-positive focus for activities.

It heralds the development of new strategies that will reaffirm and strengthen the centrality of gender equality, disability and LGBTQIA+ rights to Australia's foreign policy. It also includes a new strategy to guide Australia's humanitarian engagement internationally, ensuring we have an adaptable, responsive framework that responds to humanitarian need and builds resilience.

Australia's assistance is focused on our region, recognising that 22 of our 26 closest neighbours are still developing, and that our futures are deeply intertwined. Through the development program, we are building stronger and more meaningful partnerships in the Pacific and Southeast Asia, founded on mutual trust and respect, and shared values of fairness and equality.

The Australia Awards program is part of how we support our partners to connect with Australia through the development program. We are committed to creating more opportunities for the region's future leaders and better harnessing the expertise of alumni from our programs to invest further in this connectivity.

## 2.1 Gender equality

Supporting practical initiatives to advance gender equality and the human rights of women and girls, in their diversity, are key tenets of Australia's approach to development. DFAT applies the twin-track approach, of targeted action and mainstreaming. Gender inequalities that are particularly challenging or where progress is slow are addressed through specific initiatives (targeted), and gender equality is integrated across all areas and sectors of DFAT's work (gender mainstreaming). Gender equality is about equal opportunities, rights and responsibilities for women and men, girls and boys. DFAT recognises that gender inequality is a result of unequal power distribution between women and men, exacerbated by ongoing discrimination, weaknesses in laws, policies and institutions and social relations that normalise inequality. Work on gender equality therefore aims to address unequal gender norms that constrain women and men as well as non-binary individuals.

Priority areas for advancing gender equality are women's leadership and decision-making, economic security, safety, and access to quality services and investing in systems strengthening (including education, health), as well as Gender Based Violence, sexual and reproductive health and rights, and the Women, Peace and Security agenda.

Fellowship proposals must ensure that they do not exacerbate gender inequalities and contribute, in some way, to the achievement of gender equality. Please see below for more detail:

- Gender Equality and Women's Empowerment Strategy
- Gender Equality and Women's Empowerment in DFAT's Aid Program—Good Practice Note
- Gender Equality Investment-Level Strategy Development Good Practice Note
- Gender Equality, Disability and Social Inclusion analysis Good Practice note

## 2.2 Disability equity

Disability equity is a cross-cutting priority of Australia's engagement on foreign policy, human rights, development and humanitarian action. Australia is developing a new disability equity and rights strategy, building on the existing disability inclusion strategy, Development for All: Strategy for strengthening disability-inclusive development in Australia's aid program.

Disability-inclusive development provides opportunities for people with disabilities to participate on an equal basis with others and realise their full potential. This enables countries to harness the potential contribution of all citizens, maximising opportunities for poverty reduction and sustainable economic growth. Effectively addressing the needs of those who experience greatest vulnerability, including people with disabilities, provides the bedrock for social cohesion and contributes to a resilient and prosperous region.

As a party to the United Nations Convention on the Rights of Persons with Disabilities (CRPD), Australia has committed to supporting other countries implement the CRPD, including through ensuring our development programs and humanitarian efforts are inclusive of and accessible to people with disabilities.

Australia adopts a twin-track approach to disability-inclusive development which includes a:

- 1. Mainstream approach including people with disabilities as participants and beneficiaries of general development investments, particularly in sectors identified as key opportunities in Development for All
- 2. Targeted approach targeting people with disabilities in development initiatives designed specifically to benefit people with disabilities.

Fellowship proposals should consider including people with disabilities to ensure broader systems, policies and services include and benefit people with disabilities.

Further information see Development for All Strategy.

## Building resilience: climate change and disaster risk reduction

Climate change is the greatest shared threat to all countries. It is a global systemic crisis that is disrupting trade, causing water and energy shortages, increasing risks of pandemics, conflict and displacement, and reversing progress in the fight against poverty. The impacts from climate change and disasters are growing more severe and are outpacing our collective ability to manage risk.

Australia is committed to implementing an ambitious climate agenda and increasing environmental protection, both at home and with our partners, to achieve a net-zero and nature-positive world. Climate change action is at the heart of the new International Development Policy, which commits us to do more to help partners achieve their commitments under the Paris Agreement and accelerate global ambition to address the climate crisis.

The policy aims to significantly increase the number of investments with a climate objective, strengthen our approach to considering climate and disaster risks and ensure that our bilateral programs align with partner country climate priorities. Complementing our work on climate resilience, we will expand support for environmental protection and biodiversity conservation.

Australia is party to the Paris Agreement and is firmly committed to meeting the annual collective US\$100 billion climate finance goal, from all sources. At COP 26, Australia announced \$2 billion in climate finance, including a commitment of \$700 million to build climate change and disaster resilience in the Pacific. In addition, Australia will develop a new humanitarian strategy to ensure we deliver results for people affected by crises, help reduce need, and build resilience.

The Sendai Framework for Disaster Risk Reduction 2015-2030 calls for a multi-hazard approach to disaster risk management and inclusive risk-informed decision-making, with seven global targets including reducing mortality, economic loss, and damage to critical infrastructure, and increasing international cooperation. The Sendai Midterm Review Political Declaration (which Australia co-facilitated with the Government of Indonesia) was adopted by consensus in May 2023, successfully gaining long- term political commitment to risk-informed sustainable development including a Gender Action Plan for the Sendai Framework, access to funding (loss and damage, disaster risk financing and anticipatory action), capacity building, and improved collaboration, coordination and governance.

Fellowship proposals should seek to maximise the integration of climate and disaster risk through anticipatory action and ongoing consideration of the context and impact of a changing climate. Proposals should also consider disaster risk reduction, preparedness, and resilience-building opportunities. Proposals should demonstrate seek to identify how they can maximise contribution to mitigation, adaptation or disaster resilience efforts.

This is relevant for proposals in all sectors, as to be effective and sustainable, Australia's development program has to focus on delivering climate resilient outcomes, regardless of region, country or sector. However, these considerations are particularly important in highly vulnerable sectors like agriculture (food security); water, sanitation and hygiene (WASH); health; and infrastructure.

Further information on DFAT's climate change action and <u>humanitarian assistance and partnerships</u> are online.

## 2.4 Engagement with First Nations Australians

The Australian Government is committed to embedding the perspectives, experiences, and interests of First Nations Australians into our foreign policy. The Australia Awards Fellowship programs offers a unique opportunity to showcase Australia's modern diversity and the rich heritage of First Nations people to participating Fellows from our partner countries. Where appropriate, Fellowship proposals should consider how they can support engagement with First Nations Australians in their relevant priority development area (section 3.4).

Further detail is available in the <u>Indigenous Diplomacy Agenda</u>.

## 3 Eligibility for an Australia Awards Fellowship

## 3.1 Eligibility of applicants

Australian Organisations in partnership with Overseas Counterpart Organisations in eligible developing countries are invited to apply for an Australia Awards Fellowship.

Australian Organisations <u>must</u> be legal entities with a current Australian Business Number (ABN). Examples include:

- Australian organisations, institutions and businesses e.g. research centres, higher education
  providers, vocational education and training providers, peak bodies, Australian chambers of commerce,
  community groups, private sector, non-government organisations, media, professional associations and
  industry bodies.
- **Australian Government departments** (Federal, State/Territory and local), government agencies, statutory authorities, and Government Business Enterprises.

#### NOTE

Overseas Counterpart Organisations and individuals are <u>not eligible</u> to apply for Australia Awards Fellowships. They may, however, initiate contact with their Australian Counterpart to explore the latter's interest in applying for a Fellowship.

Study opportunities for individuals from developing countries are available under the Australia Awards Scholarships www.australiaawards.gov.au.

## 3.2 Eligibility of participants

### 3.2.1 Overseas Counterpart Organisations

The Counterpart organisation(s) cannot be an international arm or branch of the Australian organisation, nor can it be under the same overarching international organisation or international consortium as the Australian organisation. The Counterpart organisation must operate and reside in an eligible country.

DFAT will consider new or emerging partnerships with Overseas Counterparts. Australian organisations seeking funding to support the establishment of new organisational links with Overseas Counterpart's will need to demonstrate a strong understanding of the country and context in which they propose to work.

#### 3.2.2 Fellows

Australia Awards Fellowships target senior officials and mid-career professionals, who, in the short to medium term, will be in a position to advance priority development and foreign affairs issues on their return home. To be eligible, all Fellows nominated by Australian organisations must:

- be a minimum of 18 years of age at the time of commencing the Fellowship;
- not have Australian permanent resident status or be applying for permanent residency;
- be a citizen of and residing in an eligible developing country. The Fellow's country of citizenship and residency may differ;
- not be current serving military personnel;
- not be married or engaged to, or be a de facto of a person who holds, or is eligible to hold, Australian or New Zealand citizenship or permanent residency;
- have been working in their country of citizenship or residency and in an area relevant to the Fellowship
  activities preceding the date of the proposed commencement of their Fellowship;
- be able to satisfy all requirements determined by the Department of Home Affairs for a visa (<u>section 10.3</u>) for further information);
- be able to participate in the nominated Fellowship activities at the time and for the duration proposed by the Australian organisation;

- be able to travel without family members as DFAT will only fund and provide visa support letters for individual Fellows, not their family members;
- have an adequate level of English language ability to be able to fully participate in the program, including an ability to complete the Fellow's Completion Survey at the conclusion of their Fellowship;
  - Note: Australia Host Organisations (AHOs) are responsible for ensuring Fellows have an adequate level of English language ability or must implement appropriate measures to enable Fellows to fully participate in and benefit from the Fellowship activities (e.g. translation services).
  - DFAT does not recommend using translation services for multilateral Fellowships where use of translation services may compromise learning outcomes or where English language capabilities differ substantially amongst Fellows.
- If successful in their application AHOs should consult with the relevant DFAT post in their selection of candidates for Fellowships (in some instances there may be candidates who Posts may be able to identify as high calibre potential Fellows).

## 3.3 Eligible countries

Australian organisations may nominate Fellows who are citizens of the following countries:

**Pacific** Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, Palau, Papua

New Guinea, Niue, Samoa, Solomon Islands, Tonga, Tuvalu, Vanuatu and Wallis and

Futuna.

Cambodia, Indonesia, Lao PDR, Malaysia, Myanmar, Philippines, Thailand, Timor-Leste Southeast Asia

and Vietnam

**South Asia** Bangladesh, Bhutan, India, Iraq, Jordan, Maldives, Mongolia,

and Middle East Nepal, Pakistan, Palestinian Territories and Sri Lanka

**Africa** Botswana, Democratic Republic of Congo, Egypt, Ghana, Kenya, Madagascar, Malawi,

Mauritius, Morocco, Mozambique, Namibia, Nigeria, Rwanda, Senegal, South Africa,

Tanzania, Uganda, Zambia and Zimbabwe.

Europe Ukraine

Australian organisations are required to align their application with eligible countries as noted above

#### NOTE:

Brunei Darussalam, New Caledonia, French Polynesia and Singapore are not eligible for development assistance.

Applications for these locations will not be considered in Round 19.

## 3.4 Priority areas

Fellowship proposals for Round 19 must align with **ONE** (only) of the following six priority areas:

- Climate change, adaptation, resilience and green energy transition: Fellowships covering climate change adaptation and resilience, climate science, energy transition and nature-based solutions including (but not limited to); blue carbon and carbon-market, climate resilient and regenerative agriculture, climate smart water, sustainable ocean economy and sustainable mining, as well as transition to low emissions intensive economies, building clean energy supply chains and hydrogen economy safety training.
- Health: Fellowships covering health in the areas of communicable diseases, non-communicable diseases, including mental health; sexual and reproductive health and rights; health system strengthening, including building workforce capacity; health security including pandemic prevention, preparedness and response, and One Health approaches.

- Gender equality, disability equity and social inclusion: Fellowships targeting gender equality and women's and girls' empowerment, and/ or disability equity. These could include proposals for Fellowships in legal and regulatory reform (that eliminates discrimination and advances de jure equality), disability inclusive policy and budgeting; responding to and eliminating sexual and genderbased violence; gender-responsive and/ disability inclusive social protection; economic empowerment of women and/or people with disabilities, including access to finance and gender-responsive business enabling environments; leadership of women and/or people with disabilities; equitable access to quality essential services and investing in systems strengthening (education, training, health); equitable access to infrastructure or the Women, Peace and Security agenda.
- Digital Economy (including cyber, critical technology and media engagement): Fellowships with a focus on the digital economy and future architecture and environment needed to deliver prosperity, protection and long-term capability. This could include future-proofing critical assets, technologies and industries and technological obsolescence. Fellowships with a focus on the media and journalism sector are also welcome, including for example digital transition, web-based platforms, social media, media viability in the digital era, and related areas.
- Maritime and the Blue Economy: Fellowships with a focus on maritime cooperation and development - leading to longer term prosperity, protection of environment and maritime cooperation, including in areas such as, environmental management and reduction of plastic pollutants. Applications could also focus on outcomes for future development of the blue economy including in areas such as maritime policy, resource management, maritime law and protection, meteorological surveying, as well logistics, cold supply chain and transition to sustainable green energy maritime supply chain networks.
- Infrastructure and connectivity: Fellowships that provide Indo-Pacific countries with skills and relationships that support development and management of quality, resilient and sustainable infrastructure and connectivity. This could include telecommunications, clean energy, disaster resilience, logistics, public private partnerships (PPPs), procurement, infrastructure pricing and cost estimation, project planning and management, infrastructure standards, aviation, telecommunications. energy, ports, broadcasting and transport.

Preference will be given to applications addressing these development priority areas.

#### NOTE.

Where there is overlap between priority areas, please focus on and clearly explain how your proposal will align with ONE main or dominate priority area only.

Do not link your application to several priority areas.

All Fellowship proposals should explore opportunities to intersection with gender equality, women's empowerment and leadership, disability equity and the health impacts of climate change (section 2)

Fellowships which support engagement with First Nations Australians and the sharing of perspectives, experiences, and practices on health between Australia's First Nations people and Fellows are also encouraged (section 2.4).

## 4 Fellowship Application Process

The following section provides information and guidance on completing the application for a Fellowship.

## 4.1 Application Form

Fellowship applications must be submitted online via **SmartyGrants**.

Applications for Round 19 will open 11 October 2023 (9.00am AEDT) and close 7 November 2023 (11.59pm AEDT)

Applicants must complete all sections marked mandatory. These include a detailed Fellowship proposal; responses to the Selection Criteria; total number of Fellows, a detailed activity table; and a detailed budget.

Australian organisations are expected to work closely with their Overseas Counterpart Organisation(s) and prospective Fellows to develop the application.

### 4.2 Overseas Counterpart Organisation(s)

Applicants must list all partner countries, Overseas Counterpart Organisations and explain how the Fellowship incorporates the Counterpart organisation and the relevant priority development issue.

Applications must include contact details for all Overseas Counterpart Organisations as well as Letters of Support from the Counterparts on official letterhead. The letters must be signed by a senior representative of the organisation, who will not be either a nominated or reserve Fellow. The Letter of Support should address the following:

- confirm support for the Fellowship application;
- detail the expected outcomes and benefits for the Counterpart organisation(s) and the Fellows through participation in the Fellowship;
- explain how the Counterpart organisation(s) will support Fellows to use their skills, knowledge and networks upon return home and
- applicants may also attach documents that will support the application, such as copies of Memoranda of Understanding or other formal agreements where relevant.

## 4.3 Program type

Fellowships may be bilateral or multi-country:

- Bilateral involving Fellow(s) in Overseas Counterpart Organisation(s) from one eligible overseas country only.
- Multi-country involving Fellow(s) from a number of Overseas Counterpart Organisation(s) from eligible overseas countries.

## 4.4 Maximum funding available

Successful applicants will receive funding up to a maximum of \$517,500 per Fellowship proposal. The maximum amount of funding available per individual Fellow is \$34,5001, inclusive of partner country activities and program overhead fee. Each application is limited to 15 DFAT funded Fellows. Funding can be implemented over two financial years (2023/24 and 2024/25) except where funding is delivered in Tranches.

#### NOTE

All Fellowship applications should explore opportunities to promote gender equality and disability inclusive development in the design of the Fellowship.

Where appropriate, Fellowship proposals should also consider how they can support engagement with First Nations Australians in their relevant priority development area (section 2.4).

<sup>&</sup>lt;sup>1</sup> exclusive of all costs associated with providing additional assistance/assistive devices to support Fellows' with disability (see section 11 of the Fellowship Management Guide)

## 4.5 Fellowship duration

Applicants may include a variety of modes of delivery that are available in Australia, in Australian off-shore campuses or locations, at Overseas Counterpart Organisations and through distance or online learning.

- Fellowships must be between a minimum two weeks and maximum 52 weeks duration with a minimum of two weeks of the activities taking place in Australia. Travel time to and from Australia cannot be included in the calculation of the two-week minimum.
- successful applicants must implement the Fellowship within 12-months of receiving funding.
- where the Australian Organisation is requesting DFAT funding for follow-up activities in the partner country(ies), the activities must begin within three months and conclude within six months of completing the Fellowship activities in Australia.

## 4.6 Fellowship activities

Fellowship activities may include an appropriate combination of the following types of activities to achieve the Fellowship's objectives:

- short-term study and/or training;
- professional development;
- networking and work experience activities.

Examples include: management and leadership training, peer-to-peer learning, policy dialogue, work attachments, specialised research, seminars and site visits, conference participation, a study tour, program meetings and visits or a combination of these.

#### NOTE

It is important that applicants clearly demonstrate that the proposed activities and delivery approaches are appropriate and contribute to the overall objectives and expected outcomes of the Fellowship.

## 4.7 Fellowship budget

Applicants must include a detailed budget, including co-contribution amounts (section 5.3) from the Australian Host Organisation and Overseas Counterpart Organisation(s) ensuring that requests for funds are reasonable and promote value for money while delivering outcomes. There is an expectation that Australian organisations demonstrate commitment to the partnership and Fellowship program through a co-contribution.

#### 4.7.1Costs that cannot be claimed

#### Funding is not available for:

- scoping and design exercises;
- course development costs including the preparation time by lecturers and experts, and the development of course materials:
- course materials and printing
- courses of study that result in formal academic qualifications (e.g. Graduate Diploma, Masters or PhD);
- translation and interpretation related activities or English language training;
- conference attendance only a program of complementary activities must be included in the Fellowship design;
- travel insurance costs covering lost luggage and personal effects, flight delays, cancellation costs, lost or stolen travel documents, or returning home early costs that have already been incurred prior to the selection of the Fellowships

DFAT will **NOT** fund visits to Counterparts for the following types of activities:

- visits to Counterparts where no substantive technical activities are proposed.
- relationship building exercises;

#### monitoring and evaluation;

- agreement management or scoping work for future Fellowships; or
- to undertake other business unrelated to the specific Fellowship or Fellows.
- contribution to living expenses and or cost for mobilising the Fellows in their partner country
- Australian Host Organisation Staff salaries for the duration overseas activities
- comprehensive medical and travel insurance for Australian Host Organisation Staff for the period of any DFAT funded activities overseas

Eligible costs that can be claimed are detailed in section 5.

Expected co-contributions from the Australian Host Organisation are outlined in section 5.3

#### NOTE

Fellowship funding is <u>not to be used</u> to fund participation in Fellowship activities by Australian permanent residents, citizens or their spouses.

#### 4.8 Submission conditions

The Australian Organisation is responsible for the submission of their application. In order to show fairness to applying Australian organisations, **DFAT will not accept applications after the advertised closing date and time.** Also, only applications submitted online via SmartyGrants will be considered.

Commencing in Round 19, Australian organisations will be limited to submitting **six (6) applications** on the same ABN i.e one application per priority development area.

It is expected that large organisations, as an entity, will ensure only six applications are submitted. If you would like to submit six applications for Round 19, you are able to include up to 15 Fellows per application (i.e. total of 90 Fellows for the six applications).

Applicants who fail to provide all information, in the format specified, may result in their application being considered as a non-conforming application and will not be considered for funding.

DFAT reserves the right to seek clarification on non-conforming applications and reject applications that exceed the limit of six per organisation.

#### 4.9 Nominated and reserve Fellows

Nominated and reserve Fellows are not required to be named in the Stage 1 of the application process. Only the number of expected Fellows needs to be quantified.

Australian organisations can nominate up to 15 DFAT funded Fellows per application.

There is, however, no limit on the number of Self-Funded Fellows that can be nominated (section 4.9.3).

### 4.9.1 Nominated and reserve Fellows – Supplementary Form

If successful, at least six months before travel, Australian Host Organisations will be invited to list all nominated and reserve Fellows (including DFAT and Self-Funded Fellow (section 4.9.3) via a Supplementary Form through SmartyGrants.

On completion of the Supplementary Form, nominated and reserve Fellows (DFAT and Self-Funded) will be considered and approved by DFAT. Once approved, the formal "Acceptance of Award Letter" will be distributed by DFAT to Australian Host Organisations for onforwarding to the selected Fellows.

#### NOTE::

As part of the consultation process with DFAT thematic areas, DFAT may request changes to the list of nominated and/or reserved Fellows.

#### 4.9.2 Using the reserve list

In the event that nominated Fellows are unable to participate in the Fellowship, the Australian Host Organisation **can only draw from the reserve Fellow list** but must seek prior written approval from DFAT to replace nominated Fellows with reserve Fellows.

Reserve Fellows who are promoted to the Fellowship must be issued with a formal "*Acceptance of Award Letter*" by DFAT. DFAT requires at least 10 working days' notice to prepare the required documentation and conduct any relevant consultations. AHO's are NOT to amend previously issued letters.

The reserve Fellow list should include sufficient numbers and appropriate composition to allow, as far as practicable, 'like for like' replacement in the event that nominated Fellows are unable to participate. The reserve Fellow list should reflect the composition of nominated Fellows, in terms of Counterpart organisation(s), gender and country (for multilateral Fellowships).

#### 4.9.3 Self-Funded Fellows

Successful Australian Host Organisations or the Overseas Counterpart Organisation may wish to expand the number of participating Fellows by electing to fully fund the total participation costs of additional Fellows.

Self-Funded Fellows must, however, also be named in the Stage 2 Supplementary Form to allow DFAT *thematic areas* and Posts to consider and approve them. This will also enable the issue of visa letters of support by the Fellowship Secretariat.

## 4.10 Final tips for completing your application

- Ensure that the Fellowship aligns with DFAT's list of eligible countries (<u>section</u> 3.3).
- Preference is given to applications that address a single priority development area. Ensure that the
  activities planned for the Fellowship relate to the development priority area (or clearly demonstrate links
  to a specific partner country priority that falls outside these areas). This will provide a clear line of sight
  when the application is being assessed and assist in working towards the Fellowships outcomes;
- Applications with Fellows from more than one country should ensure that the Fellowship aligns with the
  priorities for all countries included in the application. If it is not a priority for one country, it is advisable to
  leave it out of the application as this could adversely affect the outcome of the application or reduce the
  scope of the Fellowship.
- Be realistic in relation to what the Fellowship can achieve through the activities being completed;
- The activities of the Fellowship should directly support outcome to be delivered by the Fellowship. Outcomes that are too broad or longer term make the application hard to assess, as the activities do not necessarily relate to the outcome that the Fellowship is trying to achieve:
- It is important to clearly demonstrate how the links with Counterpart organisations will continue into the future. Fellowships should aim to strengthen partnerships and links between Australian and partner organisations in support of Australia's development program objectives. If this is not demonstrated, the overall purpose of a Fellowship has not been achieved;
- Consider the Fellows you are nominating and ensure they are the correct nominees for the Fellowship
  to achieve the outcome. Fellows should be current and future leaders and mid-career professionals who
  will be in a position to advance priority development areas on their return home;
- Ensure that the diversity (gender, age and disability), is suitable for the Fellowship and taken into consideration. It should be demonstrated that the gender balance has been considered and why the gender balance is appropriate for the priority area of the Fellowship, for example empowering women in governance;
- Past performance/compliance does have an impact on the assessment of applications. It is important to
  ensure that all conditions of the Agreement are adhered to from previous rounds, including those
  reports are returned by their due date e.g. Activity Completion Report. Organisations who do not comply
  with the Agreement could be adversely affected;
- If submitting multiple applications please ensure that each answer is unique, and that text is not copied across several applications with similar themes.

## 4.11 Key Documents

In preparing a Fellowships proposal, Australian organisations should draw on the following resources, as relevant to the Fellowship proposal:

#### Country/Region documentation:

- Country Briefs
- Where we deliver Australia's development program

#### Thematic documentation:

- Climate change | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)
- Australia's international support for gender equality | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)
- Australia's International Cyber and Critical Tech Engagement (international cybertech.gov.au)
- Australia's assistance for health | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)
- Indo-Pacific Centre for Health Security (dfat.gov.au)
- Environment and sea law | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)
- Australia's development program | Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)
- Australia's assistance for disability-inclusive development I Australian Government Department of Foreign Affairs and Trade (dfat.gov.au)

## 5 Eligible Costs

### 5.1 Fellowships Activity Budget

The Fellowship may only be used to fund costs associated with Fellows' participation in the eligible Fellowship activities in Australia and a limited range of activities in the partner country (<u>section</u> 5.2.8). The maximum amount of funding available is \$34,500 per Fellow<sup>2</sup>, inclusive of partner country activities and program overhead fee.

Any further program costs must be allocated to the AHO and/or Overseas Counterpart Organisation as cocontributions (section 5.3).

Funding can only be used for the purposes for which it was awarded as outlined in the Agreement Deed, and not for any other organisational purposes.

#### NOTE

Organisations are expected to provide an accurate and detailed budget that promotes value for money and delivers outcomes for DFAT and the Australian Government.

Applicants must maintain adequate financial systems capable of accurately capturing and recording all costs applicable to the project, including not only DFAT funded activity specific costs but co-contribution amounts (financial and in-kind) as well.

Clear documentation and the articulation of calculation methodologies used to derive budget figures should be available in the event the organisation is selected for review by DFAT.

## 5.2 Activity-specific costs—Eligible costs

This section sets out the costs DFAT will fund.

Section 4.7.1 outlines those costs that will not be funded by DFAT.

<u>Section</u> 5.3 sets out DFAT's expectations to **co-contributions** from successful Australian Host Organisations.

The final decision on what constitutes an eligible activity or cost rests with DFAT. If you are not sure, please contact the DFAT Fellowships Secretariat at: <a href="mailto:fellowships@dfat.gov.au">fellowships@dfat.gov.au</a>.

#### 5.2.1 Travel To Australia

Return economy airfares from the Fellow's home country's international hub to the airport closest to the institution/organisation where they will be studying (i.e. domestic travel within a Fellow's home country will not be funded by DFAT). Fellows are to travel by the most direct route and are entitled to "best fare of the day", which is the cheapest economy class fare.

The AHO must advise the travel agent that once the ticket is purchased, it cannot be varied without the approval of the AHO to ensure the Fellow comply with their visa conditions and conditions outlined in the *Acceptance of Award Letter*.

Unless unavoidable, stopovers are not permitted. If unavoidable, DFAT will pay reasonable accommodation costs that have been pre-approved by the Fellowships Secretariat.

Fellows' in-Australia transport costs (i.e. taxi, trains, bus, and domestic flights within Australia) directly related to approved activities will be funded

#### 5.2.1.1 Other travel costs

Costs associated with travel to apply for an Australian visa and/or attend pre-departure briefing hosted by a DFAT Post will be funded.

Fellows are to travel and return to their home location by the most direct, economical route.

<sup>&</sup>lt;sup>2</sup> exclusive of all costs associated with providing additional assistance/assistive devices to support Fellows' with disability (see <u>section</u> 11 of the Fellowship Management Guide)

### 5.2.2 Delivering course costs

Fees for engaging lecturers/trainers/experts to deliver tuition/training to Fellows will generally be funded up to \$150 per hour. DFAT will consider higher hourly rates up to a **maximum** of \$200, providing full justification is provided that outlines value for money, level of expertise and expected outcomes, on a case by case basis.

#### NOTE

Accurate budgeting is vital.

Personnel fees must reflect the skill level and true hourly rate for engaging the person to deliver course content. The AHO must be able to articulate calculation methodologies as this may be audited if the Fellowship is selected for review by DFAT.

#### 5.2.3 Accommodation

The maximum accommodation rates (\$Australian Dollars) that can be claimed for Fellows are listed in the table below: <sup>3</sup>

| State/Territory              | Daily Rate | State/Territory   | Daily Rate |
|------------------------------|------------|-------------------|------------|
| Australian Capital Territory | \$168      | South Australia   | \$157      |
| New South Wales              | \$198      | Tasmania          | \$147      |
| Northern Territory           | \$220      | Victoria          | \$173      |
| Queensland                   | \$175      | Western Australia | \$180      |

#### NOTE

For accommodation bookings longer than four weeks, Australian Host Organisation's should negotiate with venue for a lower rate than the daily rates listed above to show that value for money has been achieved in the requested budget line item.

### 5.2.4 Fellows' contribution to living expenses

A contribution to living expenses of \$94.53 per Fellow<sup>4</sup> per day can be claimed.

The contribution to living entitlement includes:

|             | Daily Rate |
|-------------|------------|
| Breakfast   | \$19.87    |
| Lunch       | \$22.35    |
| Dinner      | \$38.07    |
| Incidentals | \$14.24    |
| Total       | \$94.53    |

#### 5.2.4.1 Deductions for group meals

No more than 40 per cent (being \$38.07) of the Fellow's entitlement may be deducted as contribution toward a dinner organised by the Australian Host Organisation.

<sup>&</sup>lt;sup>3</sup> ATO Taxation Determination TD 2022/10 – employee's annual salary \$133,450 and below.

<sup>&</sup>lt;sup>4</sup> DFAT - Australia Awards Scholarship stipend or contributions to living expenses.

#### 5.2.5 Insurance

The purchase of Overseas Student Health Cover (OSHC) by Australian Host Organisations for Fellows will be funded. The OSHC must cover the entire period of the Fellowship in Australia.

OSHC is insurance to assist international students meet the costs of medical and hospital care that they may need while in Australia. Please see section 8.1.1.5 for more information.

Travel insurance is a personal cost.

### 5.2.6 Additional assistance for disability

Additional assistance/assistive devices may be requested to support Fellows' with disability (e.g. for Fellows who are blind or have low vision, d/Deaf or hard of hearing, or have restrictions on their mobility, or may require a personal assistant, carer or assistive equipment).

The costs associated with providing additional assistance will not count toward the \$34,500 limit per Fellow.

Please see section 11 for more information.

#### 5.2.7 Welcome to Country Ceremony

In keeping with the practice of showing respect for the traditional custodians of a particular region or area, organisations are encouraged to budget for a 'Welcome to Country' protocol to be performed at the commencement of the Fellowship. DFAT will fund up to a **maximum** of \$650 to cover one or more services.

#### 5.2.8 Activities in partner countries

Only activities with a clear and substantive technical focus will be funded if conducted in partner countries, for example, visits to the Counterpart(s) to:

- support the Fellows to implement their Return to Work plans;
- support Fellows to transfer skills to colleagues and staff;
- deliver further on-the-job training and/or mentoring; and
- support Australian Host Organisation staff and Fellows to collaborate on the development of products, services or research resulting from the initial Fellowship activities in Australia.

Budgets should include a breakdown of costs for partner country activities which may include:

- accommodation costs;
- return economy class airfares from the nearest Australian capital city to the international hub in the
  partner country for AHO personnel. (DFAT will provide funding for the "best fare of the day", which is the
  cheapest economy class fare);
- training courses including seminars, field work or workshops; and
- course materials (excluding cost development costs).

#### 5.2.9 Program overhead fee

An additional amount of 10 percent of the total DFAT funds requested, with an **upper limit of \$25,000**, may be requested in the Fellowship budget as 'Overhead Fee'. The intention of the overhead fee is to account for any unforeseen expenses and part of administration costs.

Individual administrative costs may be counted as a co-contribution but are not covered by DFAT beyond the Program Overhead Fee. Please note that organisations are not required to acquit the amount of Program Overhead Fee claimed.

#### 5.3 Co-contribution

Costs over and above the amounts listed in <u>section</u> 5 or those ineligible costs outlined in <u>section</u> 4.7.1 are expected to be covered by the Australian Host Organisation and/or Counterpart Organisation as a cocontribution.

The Fellowships program does not fund the total cost of a Fellowship, therefore requires cost-sharing in the form of co-contributions from the Australian Host and Overseas Counterpart Organisations involved. Co-contribution is an important principle of the Fellowships program. The Australian organisation must demonstrate its commitment to the proposed Fellowship through a contribution from its own financial resources and/or cost-sharing with Counterpart Organisation(s) in the partner country.

Co-contributions should be considered carefully because they provide evidence of a commitment on both sides to the joint activity. Co-contributions for course costs should be seriously considered by Australian organisations.

The following costs cannot be requested from DFAT and must be allocated in the proposed budget to the Australian organisation as a co-contribution.

Co-contribution and cost-sharing may be as funding or in-kind contributions include the following:

- accommodation and travel costs for staff, trainers and experts engaged in the Fellowship activities in Australia:
- administrative and support costs (e.g. pastoral care, program management and administration etc);
- course development costs including preparation time by lecturers and experts, and the development of course materials. These costs should form part of the co-contribution. Where similar programs have been delivered in the past, some efficiencies would be expected;
- communication costs, including internet and phone access for Fellows;
- monitoring and evaluation activities;
- follow-up activities that fall outside the scope of those eligible under section 5.2.8
- hospitality costs (e.g. catering including for networking events or receptions);
- office accommodation;
- office/operating expenses;
- professional Indemnity Insurance (if required);
- staff salaries and allowances engaged in managing or training/lecturing/consulting for the program;
- extra-curricular activities for Fellows;
- translation and interpretation services;
- medical checks, police checks or working with vulnerable people checks;
- visa expenses;
- living allowance in partner countries; and
- cost for mobilising the Fellows in partner countries.

The final decision on eligible costs rests with DFAT. If you are not sure, please contact Fellowships Secretariat at: fellowships@dfat.gov.au

#### 5.4 Additional budget related information – Mixed mode delivery option

Applicants may include a variety of modes of delivery that are available in Australia, in Australian off-shore campuses or locations, in Overseas Counterpart institutions and through distance or online learning. The majority of the Fellowship (minimum 2 weeks) must be conducted in Australia.

DFAT will only fund Australian Host Organisation's travel and accommodation, training courses - including seminars, field work or workshops and cost of course materials. The rest of the program delivery cost in the partner country(ies) should be borne by AHOs/Counterpart organisations through co-contribution.

#### NOTE

The Australian Tax Office is responsible for administration of Australia's taxation system. Australian organisations are encouraged to seek independent advice from the Australian Tax Office on possible tax implications of Fellowship funding.

## 6 Selection

Each application will undergo a compliance check and shortlisting by the Fellowships Secretariat against the Round 19 Guidelines and Selection Criteria. Only compliant applications will progress through to the assessment stage (section 5.3).

Australia Awards Fellowship applications will be assessed and selected on a competitive basis against the Selection Criteria at Table 1. When addressing the selection criteria you should address the relevant aspects of each criterion, you do not need to address each of the issues identified for consideration.

Fellowships Secretariat, DFAT's overseas diplomatic missions and relevant thematic/geographic desks provide comments and an assessment score (see **Table 2**) on each relevant Fellowship application to assist with assessment of the applications. An Australia Awards Fellowships Selection Panel (AAFSP) with senior representation from DFAT will consider all relevant assessment information, including assessment scores, and make the final decision. **The decision is final and no communication regarding the deliberations or outcomes will occur.** DFAT retains discretion to consider allocation of Fellowships in line with the Australian Government's strategic priorities and regional focus.

The AAFSP is conducted on a confidential basis and its members must not discuss matters relating to the assessment of any Fellowship proposal with any party. Applicants must not seek contact with any members of the AAFSP and any such contact will be considered a breach of confidentiality and may result in DFAT removing the application of the Australian Organisation concerned. AAFSP members will be required to declare any conflicts of interest.

Applicants who have been selected by the AAFSP will be advised between March and June 2024, and requested to sign a grant/contractual agreement that outlined agreed budget and activities.

Successful applicants will be requested to provide a detailed activity table and confirmation of nominated and reserve Fellows in the Stage 2 of the application process via a Supplementary Form. Continued funding of the Fellowship will be contingent on DFAT receiving and approving this information six months before the Fellowship commences.

DFAT will email all successful and unsuccessful applicants regarding the outcome of their applications following finalisation of the selection process. DFAT will publish the names of successful Australian Organisations and the number of participating Fellows by country for Round 19 on the DFAT website.

#### NOTE

Please note that past performance will be considered when selecting applicants for Round 19.

## 6.1 Compliance Criteria

In order for your application to be considered and undergo assessment, the following mandatory criteria **MUST** be met:

| COMPLIANCE CRITERIA  | Y/N |
|--|-----|
| Application is made by a legal Australian Entity with a current ABN                            |     |
| Requested DFAT funding aligns with eligible costs as outlined in the application guidelines    |     |
| Application only covers eligible countries   |     |
| Proposal aligns with one of the development priority areas                                     |     |
| A minimum of two weeks is delivered in Australia   |     |
| Proposed Fellowship's are between two and 52 weeks in duration                                 |     |
| Overseas Counterpart Organisation/s (OCO) is from an eligible country/ies                      |     |
| Counterpart Organisation is NOT an international arm or branch of the Australian organisation? |     |
| Letters of Support have been provided from ALL Counterpart organisations                       |     |

#### 6.2 Selection criteria

#### (1) Fellowship Alignment – 35% (500 words)

How does the Fellowship align with one of priority development areas and relevant DFAT country and regional programs?

In answering this criterion, the following should be considered:

- how does the Fellowship contribute to at least one of the six priority development issues detailed in section 3.4;
- how does the Fellowship contribute to shared priorities with proposed eligible partner country(ies) See section 3.3

#### (2) Fellowship Outcome - 35% (500 words)

How will the Fellowship build relationships and deliver outcomes between Australian and partner institutions and individual Fellows?

In answering this criterion, the following should be considered:

- the development outcome(s) that the Fellowship is intended to support and how it will build capacity, skills and knowledge to advance the outcome(s) and the partner organisation;
- why the Fellowship approach is appropriate to build capacity and meet specific needs of Fellows in the partner organisation;
- strategies for maintaining and strengthening partnerships and linkages following the Fellowship with the partner organisation and Fellows;
- engagement with government, private and civil society sectors, both in Australia and in-country, including through co-funding.

#### (3) Organisational Capability – 30% (500 words)

How will Australian Host Organisation (AHO) effectively manage contractual and other program requirements?

In answering this criterion, the following should be considered:

- track record of the AHO in meeting contractual and other requirements for Australia Awards and other programs;
- how AHO and partner organisations (including those with new linkages) demonstrate viability and commitment to the Fellowship, including the value add and/or comparative advantage the AHO has in delivering the Fellowship;
- policies, processes and internal controls that are in place to allow the organisation to meet contractual and other program requirements (on but not limited to fraud, CP and PSEAH) including overall project management, risk management, Fellow management/oversight and records management;
- how the AHO maintains a proper and reliable financial management system (including appropriate supporting records) to support project management and accurate acquittal reporting (including cocontributions);
- how the AHO has utilised the lessons learnt from previous Fellowships and other programs to address past performance concerns (if applicable);
- flexible delivery modes and innovative approaches such as split site study (in–Australia and in–country)
  as well as distance and online learning to improve the effectiveness of the Fellowship and promote best
  practice; and
- access to on–award activities (that enhance Fellows' experience and establish linkages with Australia) and support alumni engagement opportunities.

## 6.3 Selection criteria: Scoring Scale

|   | Evaluation Scoring Scale  |
|---|---|
| 5 | Excellent   |
|   | Individual criteria requirements have been exceeded in most areas and relevant value-adding services or products have been offered. Most claims are fully substantiated with highly relevant examples provided. |
| 4 | Very Good   |
|   | Individual criteria requirements are exceeded in some areas and addressed to a high standard in others. Most claims are substantiated with relevant examples.   |
| 3 | Good  |
|   | Individual criteria requirements have been met to the standard expected in most areas. Most claims are substantiated with relevant examples.  |
| 2 | Fair  |
|   | Individual criteria requirements have been met but to a low standard. Some claims are substantiated with relevant examples. The response is passable, but there are major shortcomings or deficiencies.         |
| 1 | Poor  |
|   | Individual criteria requirements have been inadequately dealt with in most or all areas. Claims are inadequately substantiated.   |
| 0 | Not rated   |
|   | Individual criteria requirements have been not addressed or have been inadequately dealt with in most or all areas. Claims are not substantiated.   |

#### NOTE:

DFAT reserves the right to reject applications that exceed the maximum number allowed.

## 6.4 Due Diligence

The Due Diligence Framework is part of DFAT's broader risk management approach and is a structured approach for assessing a potential delivery partner's ability to deliver in line with DFAT's policy requirements. In addition to the compliance checks outlined in <a href="mailto:section">section</a> 5.3, the Secretariat will perform due diligence checks on applications. The Secretariat will check whether a Due Diligence assessment has previously been completed for the applicant to assess their suitability. If a current assessment does not exist, the Secretariat will complete a due diligence assessment to determine the applicant's suitability before their application moves to the next stage for shortlisting. Due Diligence assessment is not required for Australian Education Institutions (e.g. universities), non-Government organisations accredited under DFATs Australian NGO Cooperation Program and Australian Government Agencies.

## 7 Fellowships Management Guidelines

## 7.1 How to use the Fellowships Guidelines

This Guidelines document provides guidance on how to manage the Australia Awards Fellowships. You, as an Australian Host Organisation (AHO) should be familiar with its contents and have a good understanding of your role and responsibility. You are responsible for all aspects of the Fellowship delivery and management, including all official, welfare, personal and commercial arrangements (including Fellows' travel, payment of allowances, health insurance and accommodation).

#### IMPORTANT AUDIT INFORMATION

It is essential that funding recipients maintain adequate financial systems and records to support accountability and acquittal of funds for audit purposes.

DFAT uses SmartyGrants, an internet-based grant/data management system, to manage all aspects of the Fellowship. You as an AHO will be provided access to this database to electronically enter and store data, nominate Fellows and reserves, manage the acquittal process on completion of the Fellowship and submit the Activity Completion Report at the end of the Fellowship.

Further resources can be found at https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources

## 8 Management Responsibilities

## 8.1 Australian Host Organisations (AHO)

As an AHO you are responsible for, but not limited to:

- Completing the Supplement Form within 10 days of it being opened in SmartyGrants
- Returning signed Acceptance of Award Offer letters
- Entering into contractual agreement with DFAT
- Providing a tax invoice for funding within 10 days of signing the Fellowship agreements
- Arranging medical insurance for Fellows (OSHC)
- Making travel and accommodation arrangements for Fellows
- Delivering a Pre-departure briefing for Fellows
- Delivering Arrival briefing for Fellows
- In-Australia management of all Fellowship activities and Fellows' welfare including managing critical incidents and retaining a "duty of care'.
- Delivering a departure briefing for Fellows
- Ensuring Fellows submit the Fellows Completion Survey
- Delivering activities in partner country (if applicable)
- Submitting an Activity Completion Report online and on time in SmartyGrants
- Returning any unspent funds by the due date.

### 8.1.1 AHO - Risk Management

#### 8.1.1.1 Aid Risks

If executed, the Agreement Deed requires funding recipients to consider a number of issues such as prevention of sexual exploitation, abuse and harassment, child protection, anti-terrorism, anti-corruption, work health and safety and fraud control as part of risk management. It is expected that AHOs will have a management plan, including management of potential risks and may be required to produce evidence of a

risk register. Prior to signing AHOs must assess their capacity to meet the expectations.

#### 8.1.1.2 **Duty of Care**

It is expected that AHOs ensure they have reasonable measures in place to exercise 'duty of care' and ensure the safety and well-being of Fellows during their stay in Australia. AHOs must notify DFAT of any circumstances that may affect the Fellows' capacity to successfully complete their Fellowship or if a Fellow fails to comply with any of the conditions detailed in the Fellow's Acceptance of Award Letter of Offer.

#### 8.1.1.3 Sanctions

AHOs must undertake to use all reasonable efforts to ensure that none of the program funds are used to provide support to individuals or entities associated with terrorism or listed under Australian sanctions laws.

Further information about listed persons and entities is available from the DFAT website. A register of listed 'terrorist organisations' for the purposes of the Commonwealth Criminal Code is available from National Security Australia.

#### **PSEAH** and Child Protection reporting 8.1.1.4

There is a requirement for all Fellows or Host Organisations to report any PSEAH or CP allegations (substantiated or not) directly to DFAT through the <a href="mailto:seah.reports@dfat.gov.au">seah.reports@dfat.gov.au</a> or childwelfare@dfat.gov.au via a notification form.

DFAT reserves the right to terminate a Fellow's Award, including if the Fellow conducts themselves in a manner which is considered to have transgressed acceptable Australian community standards.

#### 8.1.1.5 Health Insurance

AHOs must maintain adequate arrangements for health insurance for the duration of the Fellow's stay in Australia as a condition of their visa. This is by payment of Overseas Student Health Cover (OSHC). AHOs must purchase OSHC on behalf of the Fellows. OSHC is offered by five health insurance providers currently subscribed to a Deed of Agreement with the Commonwealth Department of Health. More information can be found at: Overseas Visitors & Overseas Students (privatehealth.gov.au)

#### Pre-Departure and Arrival Briefing 8.1.2

AHOs must ensure that the following briefings are provided to each Fellow:

- A pre-departure briefing for the Fellow before they leave their home country is essential. The briefing will outline information about their training including advice on child protection PSEAH, fraud and safely living in Australia. The Fellowship Secretariat has developed pre-departure guidelines to assist the AHO in the delivery of pre-departure briefings. See Australia Awards Fellowships Pre-departure Guide (dfat.gov.au);
- An arrival briefing within the first three days of arrival of the Fellow in Australia on their obligations and conditions of the Fellowship, including their responsibility to ensure their safety and well-being during their stay in Australia;
- Before the Fellow departs Australia, the AHO must provide a departure briefing which seeks the Fellow's feedback and views on their Fellowship training program, and ensuring each Fellow completes their Fellow Completion Survey;
- At the conclusion of any DFAT funded follow-up activities in-Country, AHOs must ensure each Fellow completes part 2 of the Fellows Completion Survey providing feedback on the activities.

### IMPORTANT INFORMATION

The AHO must document and if requested, be able to provide evidence that these briefings have occurred, including details of how, what, when and where these briefings were provided.

#### Overseas Counterpart Organisations (OCO) 8.2

Support Fellows upon their return to implement new learning.

## 8.3 Fellowships Secretariat, Global Programs and Partnerships Branch (DFAT)

The Fellowship Secretariat is responsible for, but not limited to

- Responding to all enquiries sent to <a href="mailto:fefellowships@dfat.gov.au">fefellowships@dfat.gov.au</a>
- **Assisting AHOs**
- Compliance checks of applications, due diligence checks of AHOs and shortlisting of applications.
- Briefing preparation for AAFSP meeting.
- Issue Agreements to successful AHOs
- Issue Acceptance of Award Offer letters to successful Fellows
- Disburse Fellowship funding to successful AHOs.
- Managing Death of Fellow notifications
- Approving external Media Releases

## 9 Agreements - AHO

Depending on the type of organisation DFAT will enter into either a:

- **Funding Agreement** (GA) All other organisations, including State government agencies, non-government organisations, private companies, higher education providers, research centres, professional associations, etc., will enter into a Funding Agreement with DFAT.
- Record of Understanding (ROU) Successful Commonwealth entities will sign a RoU with DFAT.
   Activity schedules may be signed under umbrella RoUs where these are in place between DFAT and Australian Government entities. For other Commonwealth agencies, DFAT will enter into a standalone RoU or agreements except where there may be a benefit to put in place an umbrella RoU.

All successful applicants are known as AHO in the Agreement/ROU.

The Agreement must be signed and returned to the Fellowships Secretariat by the nominated due date together with the following documents:

- Tax Invoice
- Signed Fellows Acceptance of Award letters
- Vendor Creation Form (if required)

Agreements/RoUs includes the Activity Proposal and detailed budget for delivery of Fellowship activities, including the timeframe, financial and other responsibilities and obligations of each party. Agreements or RoUs must be finalised/signed within 14 days of the date upon which the first draft is forwarded to the successful AHO.

Tranche payments for Funding Agreements will be based on DFAT's risk assessment of the activity proposal.

## 10 Fellows

## 10.1 Fellows Responsibilities

Fellows are required to:

- Sign and return Acceptance of Award Offer Letter and Work Plans to the AHO
- Apply for visa to travel to Australia in a timely manner
- Comply with the conditions set out in the Award Offer Letter while in Australia and on Fellowship
- Complete and submit Fellows Completion Survey
- Undertake additional activities on their return to their home country (if applicable) and provide feedback by completing an additional part to their Fellows Completion Survey
- Participate in follow up surveys if requested
- Become part of the Australia Awards Alumni network
- Have ongoing links with Australian organisations

## 10.2 Acceptance of Award

Each Nominated Fellow is required to sign and return a copy of the Acceptance of Australia Awards Fellowship, Child Protection Code of Conduct and a pre-departure return to work plan, to their AHO (and DFAT) to formally accept the Fellowship.

A signed copy of the *Acceptance of Australia Awards Fellowship and Letter of Offer* is used to support the Fellow's application for their Student Visa (subclass 500).

If the Fellow decides not to accept the Fellowship offer, they should advise the AHO and employer immediately. Fellows are required to write "unable to accept" at the bottom of the letter and return it to the Australia Host Organisation who will return it to DFAT on their behalf.

Where a nominated Fellow declines the offer, the AHO may call on a reserve to take their place. DFAT should be notified immediately to allow a new *Acceptance of Australia Awards Fellowship and Letter of Offer* to be issued.

The AHO is responsible for all aspects of the Fellowship delivery and management, including all official, welfare, critical incidents, personal and commercial arrangements (including Fellows' travel, payment of allowances, health insurance and accommodation).

#### NOTE

Any changes to the Activity Proposal in the signed Agreement Deed or RoU, including changes to activities and costs (total Fellowship funds requested cannot be changed), require prior approval from DFAT, and signing a Deed of Amendment by the DFAT delegate and the organisation.

## 10.3 Applying for a Visas

All Fellows <u>must</u> travel to Australia on a valid student (subclass 500) visa supported by DFAT. Home Affairs is the Australian agency responsible for visas to enter Australia.

To ensure visa applicants obtain the most up-to-date information about all aspects of the lodgement and processing of their (DFAT sponsored) Student visa (subclass 500) applications, they can be referred to the Home Affairs website <a href="Subclass 500 Student visa">Subclass 500 Student visa (homeaffairs.gov.au)</a>.

Applications must be lodged online. Visa applicants should allow adequate time for the visa to be processed. Home Affairs advises that in some circumstances processing of the application may take up to **three months** if further medical and character assessments are required. Information on medical requirements by country is also available on the Home Affairs website at: Health examinations.

The length of the visa will be determined by the Fellowship start and end date in Australia. Applicants are expected to leave Australia on the first available/most reasonable flight home on completion of their course.

DFAT does not provide Letters of Support for the granting of visas for applicants' family members. DFAT will,

however, provide a Letter of Support for a carer to accompany a Fellow with disability where required.

Please note that DFAT staff (in any location) are not available to assist in arranging visas, customs clearances, travel/accommodation bookings or meetings and appointments.

#### 10.4 Fellow conditions

The AHO must ensure that Fellows are aware of and understand the conditions of the Fellowship as outlined in their Letter of Offer. The conditions include:

- to undertake and complete the Fellowship within the start and end dates and to return home on completion of the Award
- to participate in all activities associated with the Fellowship, submit all work required for the course and provide feedback on the Fellowship via the Fellow Completion Survey
- that while in Australia to act in a manner that will not bring disrepute to themselves, their family, country or DFAT
- to obey the laws of the Commonwealth of Australia and its States and Territories
- to satisfy all visa requirements determined by the Department of Home Affairs, as set out on the website
  and abide by the conditions of their visa
- not to hold another Australia Award at the same time as their Fellowship
- to reside continuously in Australia for the duration of the Fellowship
- to cooperate with the collection of information related to Fellowship awardees (e.g. surveys) and provide DFAT with their contact details to facilitate tracer studies and alumni activities on completion of the Fellowship
- to complete the Return to Work plan and return it to their AHO along with a signed copy of the Acceptance of Australia Award Fellowship
- to complete a Fellow Completion Survey (Part's 1 and 2) at the conclusion of the Fellowship activities in Australia and, if relevant, on conclusion of any follow-up activities in their home country
- that they understand their personal information will be handled in accordance with the Privacy Act 1988 (Cth). Personal information will be collected and used by DFAT for the purposes of administration, evaluation and promotion of the Fellowship, to manage any welfare or critical incidents affecting a Fellow and for the administration, evaluation and promotion of DFAT Australia Awards activities. Fellow's personal information may be included in media releases, DFAT's publications on the internet relating to the Fellowships program or other development activities and promotional material, including on social media. Personal information may be disclosed by DFAT to other entities including, but not limited to, Australian government agencies (including immigration authorities); Australian Ministers and Parliament; Australian education institutions or training organisations; partner organisations (including managing contractors and sub-contractors), medical practitioners and insurers; contractors engaged by DFAT to assist with the administration or evaluation of the Fellowship, the Australia Awards or other DFAT activities; and the Australia Awards Alumni Network. DFAT may disclose personal information to the Fellow's home government or other overseas recipients. Fellows acknowledge and agree that DFAT will not take any steps to ensure overseas recipients do not breach the Privacy Act before disclosing personal information, the Fellow will not be entitled to redress from DFAT for any breach of the Privacy Act by the overseas recipient, and the Fellow consent to DFAT disclosing the Fellow's information on that basis.
- they have read and understood the privacy statement contained in the Australia Awards Fellowships Guidelines (section 15.1), and that DFAT's privacy policy contains further information about how personal information will be managed, including how to access and seek correction of personal information and how to make a complaint about DFAT's privacy practices.
- for images in which they, and/or their child/ward, appear to be used for the publications and public relations activities noted below, or in relation to DFAT. Any images of their child/ward will be used in accordance with DFAT's Child Protection policy and Use of Images and Social Media guidance note available on the DFAT website. In particular, they note that this may include use in print and electronic media, including the Internet and official social media platforms for the purpose of:
  - publicising the programs and services independently and in conjunction with other departments and agencies;
  - informing stakeholders of changes and events in DFAT's areas of responsibility; and

- familiarising the public with the role and structure of DFAT.
- that DFAT may terminate the Fellow's Award at any time if:
  - they fail to participate in the Fellowship;
  - they fail to undertake the Fellowship in a way that DFAT or the AHO considers to be satisfactory;
  - they fail to comply with the laws of Australia;
  - DFAT becomes aware of any behaviour or illegal activity by the Fellow prior to the offer of the
    Fellowship that was not disclosed to the AHO at the time of their application or prior to signing the
    offer letter and which, in DFAT's opinion, would have resulted in the application being rejected had
    it been disclosed; or
  - DFAT becomes aware of any action prior to the Fellow being mobilised to Australia or while in Australia which, in its opinion, is contrary to the intent of the Fellowship program including without limitation, any action that would indicate that they may breach the return home rule, such as applying to change their visa status
- that they have read and understood DFAT's Child Protection Policy and agree to comply with the policy for the duration of the Fellowship; and that they have read and signed the DFAT Child Protection Code of Conduct attached to the Offer letter.

## 10.5 Reduced Fellowship participation

Where a Fellow is unable to commit to the full duration of activities in Australia, the AHO should encourage their full participation, however, where this is not possible the AHO must:

- absorb any additional travel costs associated with reduced participation. DFAT will not fund increase to travel costs.
  - The AHO may decide to use the "program overhead" component of the Fellowship funding to accommodate any changes.
- support the Fellow's reduced participation to ensure Fellowship outcomes continue to be achieved.
- manage any disruption to other Fellows created by the reduced participation.
- advise the Fellowship Secretariat in writing of any changes to the arrival or departures dates to allow new supporting documentation to be issued.

#### 10.6 Fellow Personal Travel

Where AHOs activities provide Fellow's free time to undertake personal travel, the AHO should advise:

- if the Fellow continues to be covered by travel insurance purchased by the AHO while travelling away from the official AHO location
  - o if not, advise the Fellow to take out personal insurance coverage
  - o if the Fellow remains covered, regardless of the location, provide details of policy
- that the Fellow continues to be covered by the purchased medical insurance OSHC section 5.2.5 for the full duration of their visit to Australia, as per the requirements of subclass visa 500 and
- accommodation costs will not be paid for personal travel, although they are still eligible for contribution to living expense payments.

The AHO maintains a "Duty of Care" for the Fellow and should request emergency contacts and travel information.

Fellows are required to advise the AHO if they plan to undertake private travel. Fellows should provide the AHO with emergency contacts and travel information to allow the AHO to undertake execute its duty of care obligations

## 10.7 PSEAH and Child Protection reporting

There is a requirement for all Fellows or Host Organisations to report any PSEAH or CP allegations (substantiated or not) directly to DFAT through the <a href="mailto:seah.reports@dfat.gov.au">seah.reports@dfat.gov.au</a> or <a href="mailto:childwelfare@dfat.gov.au">childwelfare@dfat.gov.au</a> via a notification form. See <a href="mailto:seeting">section</a> 13.3 for further information

DFAT reserves the right to terminate a Fellow's Award, including if the Fellow conducts themselves in a manner which is considered to have transgressed acceptable Australian community standards.

## 10.8 Fellows Completion Survey

As a part of the Activity Completion Report (<u>section</u>12.2), Fellows are required to submit a Fellows Completion Survey. The survey is designed to assist DFAT with its monitoring and evaluation of the Fellowships program.

It is confidential, mandatory and AHOs are required to facilitate Fellow's participation in the survey. The survey is available online in <u>SmartyGrants</u>. Host organisations need to provide Fellows their relevant Fellowship Application ID number (e.g. PR180100) to enable them to complete the survey.

Fellows will be expected to submit an additional survey on completion of any activities in their home country (Fellows Completion Survey Part 2) as well as a follow-up survey six months after their return home.

## 11 Support for people with disability

There are specific strategic goals for the Australian Development program that relate to people with disability and DFAT's <u>Development for All 2015-2020</u>: <u>Strategy for strengthening disability-inclusive development in Australia's aid program</u> aims to ensure that people with disability are included in and benefit equally from Australia's development assistance.

This commitment is fully reflected in Australia Awards Fellowships. DFAT strives to ensure accessibility for people with disability throughout the Fellowship process from pre-departure activities, the on-Fellowship experience, completion and alumni engagement.

DFAT's aim is that all participants with disability are properly supported to enable their participation on an equal basis with all other participants.

Under Australian law, disability includes physical, intellectual, psychiatric, sensory, neurological and learning disability, physical disfigurement, and the presence in the body of disease-causing organisms. More information on the Australian definitions of disability and rights of people with disability in Australia is available on the Australian Human Right's Commission's website: Disability Rights.

### 11.1.1 Travelling with a carer

Additional assistance may be requested to support Fellows with disability e.g for Fellows who are blind or have low vision, d/Deaf or hard of hearing or have mobility requirements, or may require a personal assistant/carer or assistive equipment.

DFAT will fund the return travel, visa costs and health cover, accommodation and living allowance for the personal assistants/carers for the period of the Fellowship.

Additional forms must be completed where assistance of a carer is required. Please contact the Fellowship Secretariat to request a copy of the required forms.

#### 11.1.2 Visas for carers

DFAT will provide a Letter of Support to the Department of Home Affairs confirming that the carer is applying for a visa to support an Australia Awards Fellowship recipient.

## 12 Completion activities, reports and funds acquittal

## 12.1 Fellowship Certificates

Each Fellow will be issued a personalised Australia Awards Fellowship branded certificate.

AHOs are asked to complete and forward the "Certificate Information" template that is available at <a href="https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources">https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources</a> to the Fellowship Secretariat <a href="https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources">https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources</a> to the Fellowship Secretariat <a href="https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources">https://www.dfat.gov.au/people-people/australia-awards/australia-awards-fellowships/applying-australia-awards-fellowship#resources</a> to the Fellowship Secretariat <a href="https://www.dfat.gov.au/people-people/australia-awards-fellowship#resources">https://www.dfat.gov.au/people-people/australia-awards-fellowship#resources</a> to the Fellowship Secretariat <a href="https://www.dfat.gov.au/people-people/australia-awards-fellowship#resources">https://www.dfat.gov.au/people-people/australia-awards-fellowship#resources</a> to the Fellowship to allow certificates to be printed and despatched to the AHO for distribution.

## 12.2 Activity Completion Report

An Activity Completion Report (ACR) must be submitted to DFAT by the AHOs as specified in the Agreement Deed/RoU (or as otherwise agreed in writing by both parties)

The ACR is comprised of the following sections:

- Fellows Details
- Activity Summary
- Follow on Activities
- Media and Communication Activities
- Additional Information/Comments
- Funding Acquittal

It is the AHOs responsibility to ensure that all sections of the ACR are completed and submitted in SmartyGrants by the due date

- The ACR requires the AHO, participating Fellows and Overseas Counterpart Organisation(s) to report on the outcomes of the Fellowship. The ACR includes a financial acquittal pro forma which must be used for acquitting the funding including return of unspent funds (GST inclusive)
- Performance history of a Fellowship applicant is an important indicator of value for money. Please note
  that the Fellowship Selection Panel will consider previous program performance when awarding
  funding. An AHOs failure to submit completed ACRs on time (including return of unspent funds) in the
  previous round will result in a performance penalty applied to the AHOs final score
- The ACR, including the financial acquittal, must be submitted via <u>SmartyGrants</u> within 45 days of the end of the Fellowship
- AHOs must maintain adequate financial systems capable of accurately capturing and recording project costs for Fellows participating in the Fellowship.

### 12.2.1 Step by Step instructions on how to complete ACR

Instructions on how to complete the ACR can be found at <a href="https://www.dfat.gov.au/people-people/australia-awards-fellowships/applying-awards-fellowships/applying-australia-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fellowships/applying-awards-fell

#### NOTE

Timely submission of the ACR is your legal obligation as per the signed agreement.

The DFAT Audit team may examine whether your organisation has complied with the provisions of the signed agreement, of which ACR is an important component.

You will be listed as a debtor to the Commonwealth until the unspent funds are returned or acquitted, which may also affect your future funding application.

## 12.3 Acquittal

Each AHO must provide a financial acquittal report, recording the actual amount of spending by the AHO against the agreed budget. Under the terms of the Agreement AHOs are required to **return any** 

**unspent funds**, to DFAT within 30 days of receiving notification from DFAT that the acquittal has been assessed.

Any funds remaining from an Australia Awards Fellowship at the completion of a Fellowships-funded Activity must be returned to DFAT.

#### NOTE

DFAT is not obligated to provide an invoice for the return of Fellowship program's unspent funds.

### 12.4 Fraud

DFAT takes all possible steps to ensure that Australian Government funds are used appropriately to deliver effective development assistance, and treats all attempted, alleged, detected and suspected fraud seriously.

DFAT defines fraud as dishonestly obtaining a benefit, or causing a loss, by deception or other means.

AHOs are responsible for preventing and detecting Fraud. AHOs must ensure that their employees, agents, representatives and subcontractors do not engage in any Fraudulent Activity.

AHOs will ensure that any third-party subsidiary arrangements entered into for the purpose of this Agreement include a provision equivalent to this section 12.4.

If an AHO becomes aware of any fraud involving any activities funded in whole or in part with a contribution made under the Agreement, the AHO must report the matter to DFAT within 5 business days. The AHO must investigate the alleged Fraud at the AHOs cost and take actions in accordance with DFAT's instructions.

Where an investigation determines that there has been fraud, the AHO must

- Take all reasonable steps to recover funds lost to fraud
- Refer the matter to the appropriate law enforcement authority
- If requested by DFAT reimburse funds lost to fraud and follow all reasonable directions, and
- Keep DFAT updated on progress made to respond to the fraud.

DFAT does not tolerate inaction to any attempts to gain a benefit fraudulently from the Australian Government. DFAT has the right to vary or reverse any decision regarding an Australia Award made on the basis of incorrect or incomplete information.

#### IMPORTANT INFORMATION

Funding recipients must maintain adequate financial systems and records to support accountability and acquittal of project funds for audit purposes.

## 12.5 Corruption

DFAT takes all possible steps to ensure that Australian Government funds are used appropriately to deliver effective development assistance, and treats all attempted, alleged, detected and suspected Corrupt Conduct seriously.

DFAT defines Corrupt Conduct as the meaning given in section 8 of the National Anti-Corruption Commission Act 2022 (Cth).

AHOs are responsible for preventing and detecting Corrupt Conduct. AHOs must ensure that their employees, agents, representatives and subcontractors do not engage in any Fraudulent Activity.

AHOs will ensure that any third-party subsidiary arrangements entered into for the purpose of this Agreement include a provision equivalent to this section 12.5.

AHOs acknowledges that they, and their officers, employees, agents and subcontractors engaged for the purpose of carrying out this Agreement, may be considered a 'Contracted Service Provider' in relation to a 'Commonwealth Contract' for the purposes of section 13 of the *National Anti-Corruption Commission Act 2022 (Cth)*, and if so subject to investigation by the Commissioner under that Act.

If an AHO becomes aware of any Corrupt Conduct involving any activities funded in whole or in part with a contribution made under the Agreement, the AHO must report the matter to DFAT within five business days. The AHO must investigate the alleged Corrupt Conduct at the AHOs cost and take actions in accordance with DFAT's instructions, and otherwise cooperate with [DFAT in any action taken by DFAT required or authorised by the *National Anti-Corruption Commission Act 2022 (Cth)*.

Where an investigation determines that there has been Corrupt Conduct, the AHO must:

- Take all reasonable steps to recover funds lost to Corrupt Conduct
- Refer the matter to the appropriate law enforcement authority
- If requested by DFAT reimburse funds lost to Corrupt Conduct and follow all reasonable directions, and
- Keep DFAT updated on progress made to respond to the Corrupt Conduct.

DFAT does not tolerate inaction to any attempts to gain a benefit through Corrupt Conduct from the Australian Government. DFAT has the right to vary or reverse any decision regarding an Australia Award made on the basis of incorrect or incomplete information.

## 13 Welfare and Critical Incidents

A welfare or critical incident is a clearly defined event or situation that may happen to a Fellow while they are undertaking a Fellowship that adversely affects or has the potential to adversely affect the Fellow's ability to successfully complete their Fellowship.

### 13.1 Welfare Incidents

As outlined in section 8.1, AHOs are required to manage the welfare of Fellows whilst on award.

A range of situations may qualify as welfare incidents including:

- any incident where a complaint is lodged or a Fellow is otherwise accused, of harassment, sexual harassment bullying, sexual abuse or sexual exploitation
- any incident where a Fellow lodges a complaint or otherwise alleges they have been the victim of harassment, sexual harassment bullying, sexual abuse or sexual exploitation
- any time that a Fellow is diagnosed with a serious or chronic illness (including mental illness), or admitted to hospital in a non-emergency situation
- any time a Fellow is referred for counselling
- any time a Fellow is the victim of crime
- any time that a Fellow notifies that a member of their immediate family has died overseas
- a natural disaster that occurs outside Australia and may affect the Fellow (i.e. in the home-country).

AHOs must notify DFAT Fellowships by email at fellowships@dfat.gov.au as soon as they become aware of any welfare incident that has the potential to affect the Fellows' ability to successfully complete their Fellowship, and advise the steps the AHO is taking to manage the situation.

All email notifications must have the following subject line:

WELFARE INCIDENT - Fellow's name - Fellowship application ID (e.g. WELFARE INCIDENT - Smith -R180000).

#### 13.2 Critical Incidents

As outlined in section 8.1, AHOs are required to manage critical incidents that involve Fellows.

The following events or situations are always critical incidents:

- any suspected breach of Australian laws which results in the Fellow being questioned, detained or charged with any criminal offence
- any time that a Fellow is the victim of a crime in Australia
- any incidence of domestic violence involving a Fellow, either as a victim or a perpetrator
- any time that a Fellow is admitted to hospital in an emergency and is in a serious condition.
- the death of a Fellow while they are participating in a Fellowship.

AHOs must notify DFAT Fellowships Secretariat by email at fellowships@dfat.gov.au immediately when they become aware of a critical incident that adversely affects or has the potential to adversely affect the Fellows ability to successfully complete their Fellowship, and advise the steps the AHO is taking to manage the situation.

All email notifications must have the following subject line:

CRITICAL INCIDENT - Fellow's name - Fellowship application ID (e.g. CRITICAL INCIDENT - Smith -R180000).

## 13.3 Preventing Sexual Exploitation, Abuse and Harassment and Child Protection

DFAT has a Preventing Sexual Exploitation, Abuse and Harassment (PSEAH) Policy and a Child Protection (CP) Policy. Fellows and host organisations must act in accordance with and comply with both policies. Fellows are also required to sign both PSEAH and the CP Code of Conduct section of their Fellows Acceptance of Award Letter of Offer to acknowledge that they have read and understood these policies.

DFAT's PSEAH Policy is located at: www.dfat.gov.au/pseah and DFAT's Child Protection Policy is located at: www.dfat.gov.au/childprotection.

If a Fellow is involved in a sexual exploitation, abuse or harassment incident, or child protection incident in Australia either as a survivor/victim or alleged perpetrator, Incident Management process applies. All allegations or incidents of sexual exploitation, abuse and harassment, or child protection must be reported to DFAT through the seah.reports@dfat.gov.au or childwelfare@dfat.gov.au mailboxes. Incidents if warranted will be reported to law enforcement.

Allegations will be handled in accordance with relevant Australian Commonwealth, State and Territory Laws and with DFAT policies via a notification form.

DFAT reserves the right to terminate a Fellow's Award, including if the Fellow conducts themselves in a manner which is considered to have transgressed acceptable Australian community standards.

### 13.4 Death of an Australia Awards Fellowships Fellow

If a Fellow dies in Australia, DFAT expects all parties to demonstrate appropriate cultural sensitivity, act with discretion and professionalism, and strictly comply with the additional responsibilities outlined below.

The AHO must first inform the Fellowship Secretariat who will facilitate discussions with the relevant High Commission or Consulate directly in addition to requesting a DFAT post to assist with informing the family via the relevant local authorities.

If repatriation of the Fellow's remains is required, AHOs in consultation with the Fellowships Secretariat to seek advice from the Diplomatic/Consular representative concerning the requirement for returning the body to the Fellow's home country.

If costs are to be incurred in arranging repatriation of remains or funeral services in Australia, AHOs must inform the Fellowships team at DFAT in writing, in advance, of all arrangements and costs.

The AHO in consultation with the Fellowship Secretariat will

- Implement the wishes of the Fellow's next of kin, where possible
- If required, liaise with the Police and/or hospital about the circumstances of the death

## 14 Media Guide

For successful applicants, promoting your Fellowship through news articles and social media are great ways to highlight your programs achievements. They can be published at any time and are easily reproduced through our DFAT channels.

## 14.1 Promoting your Australia Awards Fellowships

It's never too early to think about ways to promote your Fellowship (including before your Fellows arrive!). This document includes some suggestions to help you get started and also provides guidance about how to reference the Australian Government's support for your program and how to use our branding.

We will send you a copy of the *Australia Awards Fellowships Promotions Pack* after you sign the agreement. This promotion pack includes logos and templates that are ready for you to create several cobranded communications products.

The pack includes a template for a media release, Fellow profile, as well as Australia Awards Fellowship logos.

Please remember, as part of your Agreement you are required to seek DFAT approval of any external communications. The Fellowships Secretariat and the Australia Awards team is keen to help by promoting your Fellowship (where possible) through our channels.

## 14.2 Logos and co-branding

It is important that the Australian Government's contribution is recognised, and for Fellows to know they have received a prestigious Australia Award. We ask that you co-brand course materials and promotion items where possible. The Australia Awards Fellowships logo has been provided in the Promotions Pack for your use

For products using your own branding please include the Australia Awards logo in the following order of prominence:

- 1. AHO logo
- Australia Awards Fellowship logo, or
- 3. AHO logo
- 4. Partner organisation logo
- 5. Australia Awards Fellowship logo

If you use one of the Australia Awards branded templates provided in the Promotions Pack we ask that you do not alter the position of the Australia Awards Fellowship logo.

A more detailed Australia Awards Branding Style Guide is available on request.

## 14.3 Promoting your Fellowship

#### 14.3.1 Media releases

Sending out a media release prior to the commencement of your Fellowship is a great way to let people know about your organisation and your program's objectives. A shell template for drafting co-branded media releases has been included in your *Promotions Pack* which includes a compulsory Australia Awards Fellowships disclaimer. The template also provides some key messages, which we encourage you to use.

Clearance - please send all media releases to fellowships@dfat.gov.au for clearance with a **minimum of two days'** notice prior to releasing.

### 14.3.2 Offering an 'exclusive' to a local newspaper or journalist

Proactive placement of a story is a good way to gain coverage. You might like to consider inviting a local journalist to an event which provides good photo opportunities, for example, a function where Fellows are in traditional dress, or a meeting with local government representatives. You may like to set up a meet and greet with Fellows participating in field work or arrange for a local journalist to interview one of your outstanding Fellows.

#### 14.3.3 Articles for websites or newsletters

News articles published through your own print and electronic channels are a great way to highlight your program's achievements. They can be published any time and are easily reproduced through our DFAT channels. Your own channels might include your organisation's website, your partner's website, your newsletters, or relevant industry publications.

Please send any communication products you think DFAT might be interested in cross promoting to fellowships@dfat.gov.au. We may publish the content on the DFAT or Australia Awards websites, in electronic newsletters, annual reports etc. or use social media to link to your website.

#### 14.3.4 Social Media

We strongly encourage you to use social media (Twitter, Facebook, Instagram, YouTube etc.) to promote your Fellowship. Of course, if you share content with us we can forward to our many followers. We will keep an eye out for #AustraliaAwards or @AustraliaAwards but please email us if we missed anything or you want to alert us to upcoming events or stories.

- Twitter Australia Awards is on Twitter! Please follow us and link to us by using our twitter handle
   @AustraliaAwards. When hash tagging please use #AustraliaAwards. We can re-tweet messages to a
   wide audience. Please ask your Fellows to follow us too!
- **Facebook** Please be sure to "like" our Australia Awards Facebook page and stay up to date with Australia Awards news. When referring to Australia Awards on Facebook please use the hash tag #AustraliaAwards Fellowships.
- **Instagram** we are also on Instagram @australiaawards. Please follow us and remember to use the hashtag #AustraliaAwards Fellowships when posting your photos.
- YouTube DFAT has its own YouTube channel including an Australia Awards playlist. We would be
  very happy to assist you in planning a video of your Fellowship and may be in a position to collaborate
  and upload content to our site. We have a suite of branding and co-branding elements especially for this
  purpose. Check out DFAT YouTube channel and Australia Awards playlist for examples of our current
  videos.

#### 14.3.5 Fellow profiles

You may like to consider profiling one or more of your outstanding Fellows. First-hand stories often make great news content, providing a personal account of a Fellow's experience. Consider using Fellow's who are comfortable being interviewed, have an interesting background, are high profile in their country of origin, or thought to be leaders in their fields.

Included in your **Promotions Pack** are a set of standard questions you can give to your Fellows to assist them with drafting their own profiles, which you can then edit. A good photo will lift a written profile and may help with securing external media placement. Examples of profiles can be found on the Australia Awards website. See below for more information on photos.

Please send profiles you would like DFAT to consider promoting to: <a href="mailto:fellowships@dfat.gov.au">fellowships@dfat.gov.au</a>

Please include links to where the profiles have been uploaded on your own channels. Also send us relevant photo captions and photo by-lines, e.g. courtesy University of Sydney.

## 15 Other Information

## 15.1 Privacy

The Privacy Act 1988 governs the handling of personal information by Australian Government agencies. DFAT's privacy policy is available on the website at: Privacy.

The Agreement Deed or the Record of Understanding will require the AHO to adhere to the Privacy Act, including compliance with DFAT's privacy obligations (which may differ from those which the AHO operates under). The AHO should understand DFAT's purpose in collecting personal information is to manage the Australia Awards Fellowships program and DFAT Australia Awards, manage any welfare or critical incidents affecting Fellows, for promotion of the Australia Awards Fellowship program and DFAT Australia Awards and to keep in touch with the Fellows regarding post-Fellowship activities such as Australia Awards Alumni networking. The AHO also agrees to advise the Fellows that their personal information may be included in media releases, DFAT's publications on the internet relating to the Fellowships program or other development activities and promotional material, including on social media. The AHO agrees to ensure that Fellows consent to DFAT collecting personal information, including sensitive information, about them and using and disclosing that information as necessary, for the purposes noted above. This includes, but is not limited to, DFAT disclosing information to other government agencies including the Department of Home Affairs or third parties such as medical practitioners, insurers and contractors and sub-contractors if required. The AHO must obtain consent from the Fellow for DFAT to disclose personal information to overseas recipients. The consent must be provided on the understanding that DFAT will not take any steps to ensure overseas recipients do not breach the Privacy Act before disclosing personal information, the Fellow will not be entitled to redress from DFAT for any breach of the Privacy Act by the overseas recipient, and the Fellow consent to DFAT disclosing the Fellow's information on that basis.

## 15.2 Disclaimer

The Australian Government will not accept responsibility for any misunderstanding arising from the failure by an applicant to comply with these Guidelines, or arising from any ambiguity, discrepancy or error contained in an application.

The Australian organisation is responsible for all costs incurred in the preparation and lodgement of their applications.

## 15.3 False or misleading information

Applicants should be aware that giving false or misleading information to the Commonwealth is a serious offence under the Criminal Code Act 1995 (Cth).

Applications may be disregarded, offers revoked or a program terminated if DFAT believes that false or misleading information formed a component of an application.

#### 15.4 Conflict of Interest

The Australian Public Service (APS) Code of Conduct (section 13(7) of the Public Service Act 1999) requires that APS employees disclose, and take reasonable steps to avoid, any conflict of interest (real or apparent) in connection with their APS employment. It is also in the interests of all employees that the firms and people we do business with are confident that we act in accordance with the Code of Conduct and do not make improper use of information.

There is also obligation under the Public Service Regulations 1999 on employees not to disclose any information about public business or anything of which the employee has official knowledge and, under the Crimes Act 1914, it is an offence for an employee to publish or communicate such information. Applicants are required to disclose any conflict of interest that may impact on their application.

