

## Australian Safeguards and Non-proliferation Office – Performance Framework

Key Performance Indicators	Relevance to ASNO	Metrics for Self-Assessment
<b>KPI 1:</b> Regulators do not unnecessarily impede the efficient operation of regulated entities.	Relevant	<p><b>Timely processing of permit applications and approvals.</b></p> <p>Evidence: Document the time from receiving a complete permit application or approval form to finalisation.</p> <ul style="list-style-type: none"> <li>• Considerations: Effective issuing of permits and processing approvals allows the stakeholder to continue with their business activities with minimal interruption.</li> </ul>
<b>KPI 2:</b> Communication with regulated entities is clear, targeted and effective.	Relevant	<p><b>Regulations and permit conditions are reviewed for clarity and suitability.</b></p> <p>Evidence: Describe actions taken to periodically review ASNO requirements as described in regulations, permit conditions and guidance in order to maintain effectiveness.</p> <ul style="list-style-type: none"> <li>• Considerations: ASNO’s regulations, permits and approval forms are the primary means of communicating obligations to regulated entities. Periodically reviewing and upgrading these documents ensures that compliance requirements are suitable for the current environment and clarify actions required.</li> </ul>
<b>KPI 3:</b> Actions undertaken by regulators are proportionate to the regulatory risk being managed.	Relevant	<p><b>Implement risk informed regulatory program.</b></p> <p>Evidence: Document the activities relating to the implementation of the ASNO risk informed regulatory program.</p> <ul style="list-style-type: none"> <li>• Considerations: Applying risk informed policies and procedures to ASNO’s regulatory activities, including inspections, will ensure that only measured, appropriate actions with minimal stakeholder burden occur.</li> </ul>
<b>KPI 4:</b> Compliance and monitoring approaches are streamlined and coordinated.	Relevant	<p><b>Establish streamlined compliance assessment and inspection processes.</b></p> <p>Evidence: Note actions taken to implement procedures that lead to a streamlined compliance assessment and inspection process that fulfils ASNO regulatory objectives and reduces burden on permit holders.</p> <ul style="list-style-type: none"> <li>• Considerations: ASNO business processes drive regular and ongoing review of the plans and arrangements used by our regulatory teams to facilitate permit holder monitoring and inspections. Streamlining ASNO’s assessment and inspection processes will result in more efficient engagement with businesses.</li> </ul>

<p><b>KPI 5:</b> Regulators are open and transparent in their dealings with regulated entities.</p>	<p>Relevant</p>	<p><b>Outreach activities conducted to communicate regulatory requirements to stakeholders and receive feedback.</b>  Evidence: Document outreach events where information is provided to stakeholders on regulatory requirements and discussion initiated. Includes discussions during inspections and on-site visits.</p> <ul style="list-style-type: none"> <li>• Consideration: As well as discussions during on-site visits, ASNO will take advantage of existing seminars/workshops/forums within relevant industries to directly communicate with businesses about nuclear and chemical regulatory requirements, and strategies for implementing good practice.</li> </ul>
<p><b>KPI 6:</b> Regulators actively contribute to the continuous improvement of regulatory frameworks.</p>	<p>Relevant</p>	<p><b>Meetings attended to influence international policy.</b>  Evidence: Document and describe activities where ASNO staff have participated in events that influence international policy relating to regulatory frameworks to the benefit of Australia stakeholders.</p> <ul style="list-style-type: none"> <li>• Consideration: ASNO will continue to actively engage relevant international organisation such as the Organisation for the Prohibition of Chemical Weapons, the International Atomic Energy Agency and Comprehensive Nuclear-Test-Ban Treaty Organization to shape policies and standards that ensure that, when implemented within Australia, the frameworks support business.</li> </ul> <p><b>Engagement with other regulators to explore opportunities for regulatory efficiencies.</b>  Evidence: Document meetings and other joint activities with other Commonwealth and State regulators involving the evaluation of regulatory frameworks.</p> <ul style="list-style-type: none"> <li>• Consideration: Identify other national and state organisations that engage in nuclear and chemical governance. Share experiences with these stakeholders including discussion on efficiency strategies. Cooperate on regulatory programs.</li> </ul>