



Australian Government


Department of Foreign Affairs and Trade



AID PROGRAM PERFORMANCE REPORT FULL APPR 2018-19

<Name of Country or Regional Program>

September 2019



[Please ensure you read the [APPR Good Practice Note](#) for important information (including page limits) before completing the templates. The [Aid Programming Guide \(APG\)](#) provides more guidance and tools on the aid management cycle.]

[Please delete the instructions in square brackets on completion of your APPR Summary.]

[Indicative ratings have been included in the tables in the document. Please update these with your actual ratings.]

[PROGRAM NAME] AID PROGRAM PERFORMANCE INFORMATION 2018-19

KEY MESSAGES

This report summarises the performance of Australia's aid program in [insert country or regional program name here] from July 2018 to June 2019 against the [insert country or regional program name here] Aid Investment Plan (AIP) [insert AIP duration here].

[Outline the key findings of the report.]

CONTEXT

[Briefly summarise the key objectives of the program for the duration of the AIP, as well as the underlying program logic (what Australian aid does and how this contributes to achieving the key objectives).]

[Provide details of any major shifts in country or regional context that have had or are likely to have an impact on the Australian aid program. This can include political and economic developments, major reforms or natural disasters. Does the program/strategy remain relevant to the current context? If not, what major adaptations has your program made as a result? Highlight any changes to AIP objectives and/or strategic focus made during 2018-19.]

[Compare Australia's official development assistance (ODA) expenditure in the country/region with other donors (the number and size of other donors, where Australia ranks in terms of its contribution) and provide the ratio of Australia's ODA to the partner government's national budget where possible. Explain how the aid program has been designed to reflect the size and position of Australian aid.]

EXPENDITURE

[In Table 1, list the bilateral expenditure figure for each aid objective as well as expenditure for regional and global programs and Other Government Departments (OGDs). Expenditure should be given to the end of the financial year.]

[Expenditure data for DFAT-administered funding should be generated from AidWorks. Regional and Global expenditure and expenditure by OGDs can be sourced from:

<http://collaboration.titan.satin.io/AidStatistics/Pages/DataFiles.aspx> . Questions on past years can be directed to the ODA Statistics and Reporting section (aidstatsinquiries@dfat.gov.au).

[Significant changes in budget from the previous financial year should be noted.]

Table 1 Total ODA Expenditure in FY 2018-19

Objective	A\$ million	% of total ODA
Objective 1		
Objective 2		
Objective 3		
Sub-Total Bilateral		
Regional and Global		
Other Government Departments		
Total ODA Expenditure		

PROGRESS TOWARDS AIP OBJECTIVES


[The reporting period should cover the 2018-19 financial year.]


[Ratings in Table 2 should reflect an evidence-based judgement about progress towards objectives and outcomes not simply an aggregated summary of AQC information. It is important that programs use the full range of rating options (green, amber, red) in assessing progress.]


Table 2 Rating of the Program's Progress towards Australia's Aid Objectives

Objective	Previous Rating	Current Rating
Objective 1	Green	Amber
Objective 2	Amber	Green
Objective 3	Red	Red

Note:

 Green. Progress is as expected at this stage of implementation and it is likely that the objective will be achieved. Standard program management practices are sufficient.

 Amber. Progress is somewhat less than expected at this stage of implementation and restorative action will be necessary if the objective is to be achieved. Close performance monitoring is recommended.

 Red. Progress is significantly less than expected at this stage of implementation and the objective is not likely to be met given available resources and priorities. Recasting the objective may be required.

OBJECTIVE 1 [INSERT DESCRIPTION OF OBJECTIVE]



OBJECTIVE 2, 3 ETC.[INSERT DESCRIPTION OF OBJECTIVE/S]



[At the beginning of each objective narrative, identify the Sustainable Development Goal/s with which the objective most closely aligns. A list of the SDGs is included at Appendix 1 of this template and Goals can be copied and pasted from the list.]

[Include a summary sentence under each objective indicating why the rating (green, amber, red) was given. E.g. 'The objective was rated amber because program progress was less than anticipated for the following reasons...']

[For each objective, indicate the shared national development goals to which the program will contribute (if any) and the scope of Australia's objective. A clear distinction should be articulated between partnership goals and what Australia is accountable for. Ratings should reflect program progress towards Australia's aid objectives.]

[Discuss whether progress over the reporting period reflects the underlying program strategy/program logic as articulated in the AIP.]

[In the narrative for each objective, outline the degree to which the objective was or was not met by clearly setting out where expectations were met and where progress was not as advanced as expected in 2018-19. Discuss key achievements and underperformance in the reporting period. The narrative should be balanced outlining both achievements and challenges and not just a listing of achievements or outputs. Results should be supported by evidence and compared to expected results to judge their significance. Describe outcomes and impacts achieved by Australian aid where possible.]

[In assessing progress against objectives, specifically identify progress against current Performance Assessment Framework (PAF) milestones/indicators as well as relevant performance benchmarks. Include information on actual performance and where a milestone or performance benchmark has not been achieved, or has only been partly achieved, discuss why this is the case.]

[Analyse progress made in promoting the government's priorities in innovation, private sector engagement, gender equality and the empowerment of women and girls and the promotion of disability-inclusive development.]

[Indicate where policy dialogue with the partner government or other actors (eg World Bank) has influenced progress towards objectives, describing successes and challenges. Consider including outcomes and resources that DFAT has leveraged through policy dialogue and financing.]

[Conclude each objective narrative by identifying management actions to address issues raised.]

[Use a range of internal and external sources of evidence. Data sources may include the results of aid quality checks (AQC) or reviews/evaluations of individual aid investments. Use footnotes to document data sources. Data should be sex and disability disaggregated wherever possible.]

[The APPR should report upon total Australian ODA delivered in country, not just that delivered by DFAT. Discussion and analysis should include only major financial and/or strategic investments of aid delivered by other government departments.]



MUTUAL OBLIGATIONS

[Discuss progress against the mutual obligations identified in the AIP or as updated in last year's APPR. Mutual obligations should focus on partner country budgetary, legislative and reform commitments that are critical to the achievement of AIP objectives. Consider including policy dialogue efforts in progressing these obligations.]

[For regional programs working with several government partners, focus on the sectors and countries of most strategic importance as well as key regional organisations.]

PROGRAM QUALITY AND PARTNER PERFORMANCE OVERVIEW

[Discuss the program's capacity to generate and use effective performance information. Are there issues with collecting monitoring and evaluation data? Program areas are encouraged to review PAFs and performance benchmarks and update them as required – PAFs should be included in Annex E. Aid Management and Performance Branch (MPB) and Office of Development Effectiveness (ODE) can assist programs with reviewing PAFs.]

[Report on the extent to which consolidation is being achieved – is proliferation being addressed?]

[Comment on evaluations completed in the reporting period and discuss the forward evaluation pipeline with reference to **Annex C**. Have evaluation recommendations and management actions from the previous reports been acted on?]

AID QUALITY CHECKS (AQCS)

[Discuss overall AQC ratings and distribution, and comment on trends using current and previous year's ratings. AQC ratings should not be averaged.]

[If there are Investments Requiring Improvement (IRIs) from 2017-18, indicate whether performance has improved and note whether any investments have ended.]

[Explain how any unsatisfactory AQC ratings are being addressed.]

[Discuss how the program is rated for gender equality and empowerment of women and girls and what is being done to improve this rating.]

[A table of AQC ratings should be included in **Annex D**.]

PERFORMANCE OF KEY DELIVERY PARTNERS

[Include information about the number of Partner Performance Assessments (PPAs) completed for the program for contractors, NGOs and multilaterals respectively. Provide comment on the effectiveness of implementing partners including contractors, NGOs and multilateral partners. Evidence can be drawn from non-sensitive information contained in PPAs and Multilateral Performance Assessments (MPAs) where relevant.]

[Where relevant, comment on the effectiveness of working with partner government systems.]

RISKS

Table 3: Management of Key Risks to Achieving Objectives

Key risks (emerging and ongoing)	What actions were taken to manage the risks over the past year?	What further actions will be taken to manage the risks in the coming year?	Provide a Risk Rating (low, medium, high, very high)	Are these same risks in in Post's Risk Register (Yes/No)*
What are the most significant or emerging risks, including fraud risks, associated with program management, and how are they being addressed? Include only 3 or 4 major risks				


*If no, consider updating the Risk Register

[Provide an analysis as to whether the overall risk profile for the program has changed over the last twelve months including a summary of any key changes.]

MANAGEMENT ACTIONS

[Discuss progress made during this reporting period with respect to the management actions identified in the program's 2017-18 APPR. A summary of progress on management actions should be included in the table in **Annex A.**]

[Identify management actions for significant or emerging issues, opportunities or risks associated with the program in the forthcoming 12 months identified in the APPR. Management actions can cover strategic and



operational issues. Management actions should be clearly linked to the progress against objectives ratings and narratives, particularly any objectives rated amber or red, and the identified risks to future progress. Management actions should be specific, time-bound and with clear responsibilities assigned for implementation.]

ANNEX A - PROGRESS IN ADDRESSING MANAGEMENT ACTIONS

[Describe progress made against management responses in 2017-18 report.]

Management responses identified in 2017-18 APPR	Rating	Progress made in 2018-19
	Achieved	
	Achieved	
	Achieved	
	Partly achieved	
	Not achieved	

Note:

- Achieved. Significant progress has been made in addressing the issue
- Partly achieved. Some progress has been made in addressing the issue, but the issue has not been resolved
- Not achieved. Progress in addressing the issue has been significantly below expectations

ANNEX B – PERFORMANCE BENCHMARKS

1 PROGRESS TOWARDS PERFORMANCE BENCHMARKS IN 2018-19

[Discussion on progress against specific performance benchmarks in 2018-19 should also be included as part of the text assessing progress against individual program objectives in the main body of the APPR.]

Aid objective	Performance Benchmark	Rating	Progress in 2018-19
AIP Strategic Objective	Performance Benchmark (s)	Achieved	Comment briefly on the extent of progress.
AIP Strategic Objective	Performance Benchmark (s)	Partly achieved	If the benchmark was not achieved or only partly achieved, include summary information on actual performance.
2019-20 Performance Framework or AIP Strategic Objective	Performance Benchmark (s)	Not Achieved	Comment briefly on the extent of progress and why the benchmark was not achieved

Note:

- Achieved. Significant progress has been made and the performance benchmark was achieved
- Partly achieved. Some progress has been made towards achieving the performance benchmark, but progress was less than anticipated.
- Not achieved. Progress towards the performance benchmark has been significantly below expectations

2 PERFORMANCE BENCHMARKS FOR 2019-20

Aid objective	Performance Benchmark	2018-19	2019-20
2019-20 Performance Framework or AIP Strategic Objective	Performance Benchmark (s)	<i>(insert target if relevant)</i>	<i>(insert target)</i>

2019-20 Performance
Framework or AIP
Strategic Objective

Performance Benchmark (s)

(insert target if relevant)

(insert target)

[Discuss the relevance of individual benchmarks with specialist areas such as MPB and sector/thematic groups during APPR drafting as well as during APPR peer reviews. Consider capturing indicators on gender and disability inclusive development.]

ANNEX C - EVALUATION PLANNING

1 LIST OF EVALUATIONS COMPLETED IN THE REPORTING PERIOD

Investment number and name (if applicable)	Name of evaluation	Date completed	Date Evaluation report Uploaded into AidWorks	Date Management response uploaded into AidWorks	Published on website
(e.g. INK640 The Knowledge Sector Initiative)	(Drawn from final evaluation report title page)	(Date final report submitted to DFAT)	(Should be no more than 3 months following date completed.)	(Generally management responses should be completed within 28 calendar days of completion.)	(Provide actual date. It should be no more than 3 months following date completed. If publication is yet to occur an estimate should be provided and clearly identified as such. e.g. June -2018 est.)

2 LIST OF PROGRAM PRIORITISED EVALUATIONS PLANNED FOR THE NEXT 12 MONTHS

Evaluation title	Investment number and name (if applicable)	Date – planned commencement (month/year)	Date – planned completion (month/year)	Purpose of evaluation	Evaluation type
(e.g Knowledge Sector Initiative progress evaluation)	(e.g. INK640 The Knowledge Sector Initiative) (Note: as per DFAT's aid evaluation policy, evaluations need not necessarily be at the investment level)	(i.e. when will work on terms of reference commence)	(i.e. when will the final evaluation report be submitted)	e.g. <ul style="list-style-type: none">- inform new design- demonstrate results- verify performance- improve existing investment- examine value for money- inform new/revised AIP- examine a sector or theme- analyse pilot project	i.e. <ul style="list-style-type: none">- DFAT led (includes consultants engaged by DFAT)- Partner led- Joint (If a joint or partner led evaluation please include partner details).

ANNEX D - AID QUALITY CHECK RATINGS

1 AQC RATINGS

[AQC investment performance over the previous 12 months and where available last year's AQC ratings.]

Investment name	Approved budget and duration	year on year	Relevance	Effectiveness	Efficiency	Monitoring and Evaluation	Sustainability	Gender equality	Risks and Safeguards
Investment 1	\$21m 2016-20	2019 AQC	5	4	4	2	6	3	4
		2018 AQC	5	3	4	2	6	1	4
Investment 2	\$17m 2016-19	2019 AQC	5	5	4	5	6	5	4
		2018 AQC	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Investment 3		2019 AQC							
		2018 AQC							

2 HAQC RATINGS [INCLUDE IF RELEVANT]

[Humanitarian response AQCs assess performance over the previous 12 months (ratings are not compared to previous years).]

Investment name	Approved budget and duration	Overall rating	Relevance	Effectiveness	Efficiency	Monitoring and Evaluation	Connectedness	Gender equality	Risks and Safeguards
Investment 4	\$3.0m 2017-18	4	5	4	3	6	3	2	5

3 FAQC RATINGS [INCLUDE IF RELEVANT]

[Final AQCs assess performance over the lifetime of the investment (ratings are not compared to previous years).]



Investment name	Approved budget and duration	Overall rating	Relevance	Effectiveness	Efficiency	Monitoring and Evaluation	Sustainability	Gender equality	Risks and Safeguards
Investment 5	\$25.4m 2014-18	4	5	4	3	6	2	4	5

Definitions of rating scale:

Satisfactory (4, 5 and 6)

6 = Very good; satisfies criteria in all areas. 5 = Good; satisfies criteria in almost all areas.

4 = Adequate; on balance, satisfies criteria; does not fail in any major area.

Less than satisfactory (1, 2 and 3)

3 = Less than adequate; on balance does not satisfy criteria and/or fails in at least one major area.

2 = Poor; does not satisfy criteria in major areas. 1 = Very poor; does not satisfy criteria in many major area.



ANNEX E – PERFORMANCE ASSESSMENT FRAMEWORK

[Insert Performance Assessment Framework for 2019-20]

APPENDIX 1: 2030 AGENDA FOR SUSTAINABLE DEVELOPMENT INFOGRAPHICS

 <p>1 NO POVERTY</p>	End poverty in all its forms everywhere	 <p>10 REDUCED INEQUALITIES</p>	Reduce inequality within and among countries
 <p>2 ZERO HUNGER</p>	End hunger, achieve food security and improved nutrition and promote sustainable agriculture	 <p>11 SUSTAINABLE CITIES AND COMMUNITIES</p>	Make cities and human settlements inclusive, safe, resilient and sustainable
 <p>3 GOOD HEALTH AND WELL-BEING</p>	Ensure healthy lives and promote well-being at all ages	 <p>12 RESPONSIBLE CONSUMPTION AND PRODUCTION</p>	Ensure sustainable consumption and production patterns
 <p>4 QUALITY EDUCATION</p>	Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all	 <p>13 CLIMATE ACTION</p>	Take urgent action to combat climate change and its impacts
 <p>5 GENDER EQUALITY</p>	Achieve gender equality and empower all women and girls	 <p>14 LIFE BELOW WATER</p>	Conserve and sustainably use the oceans, seas and marine resources for sustainable development
 <p>6 CLEAN WATER AND SANITATION</p>	Ensure availability and sustainability management of water and sanitation for all	 <p>15 LIFE ON LAND</p>	Protect, restore and promote sustainable use of terrestrial ecosystems
 <p>7 AFFORDABLE AND CLEAN ENERGY</p>	Ensure access to affordable, reliable sustainable and modern energy for all	 <p>16 PEACE, JUSTICE AND STRONG INSTITUTIONS</p>	Promote peaceful and inclusive societies for sustainable development and provide access to justice for all
 <p>8 DECENT WORK AND ECONOMIC GROWTH</p>	Promote sustained, inclusive and sustainable economic growth, full and productive employment	 <p>17 PARTNERSHIPS FOR THE GOALS</p>	Strengthen the means of implementation and revitalise the global partnership for sustainable development
 <p>9 INDUSTRIE, INNOVATIE EN INFRASTRUCTUUR</p>	Build resilient infrastructure, promote inclusive and sustainable Industrialisation and foster innovation		

[In order to ascertain which of the Sustainable Development Goals (SDGs or ‘Global Goals’) your program objectives are addressing, please read through the list of targets against each goal, available on the DFAT website under **Development Goals**.]

[Note there are cross-cutting issues such as gender equality and disability which are included as targets across several goals. Consistent with Australia’s ‘Gender Equality and Women’s Empowerment Strategy’ the majority of programs should address Goal 5.]